47:1A-5

LEGISLATIVE HISTORY CHECKLIST

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LAWS OF: 2001 **CHAPTER**: 404

NJSA: 47:1A-5 (Public access to government records)

BILL NO: A1309 (Substituted for S2003)

SPONSOR(S): Geist and Collins

DATE INTRODUCED: January 11, 2000

COMMITTEE: ASSEMBLY: State Government; Judiciary

SENATE: ----

AMENDED DURING PASSAGE: Yes

DATE OF PASSAGE: ASSEMBLY: June 29, 2000

SENATE: January 7, 2002

DATE OF APPROVAL: January 8, 2002

FOLLOWING ARE ATTACHED IF AVAILABLE:

FINAL TEXT OF BILL (5th reprint enacted)

(Amendments during passage denoted by superscript numbers)
Yes

A1309

SPONSORS STATEMENT: (Begins on page 8 of original bill)

Yes

COMMITTEE STATEMENT: ASSEMBLY: Yes 12-6-2001(Judiciary)

3-6-2000(State Gov't.)

SENATE: No

FLOOR AMENDMENT STATEMENTS: Yes 3-27-2000

6-26-2000 5-3-2001 1-3-2002

LEGISLATIVE FISCAL ESTIMATE: No

S2003

SPONSORS STATEMENT: (Begins on page 16 of original bill)

Yes

COMMITTEE STATEMENT: ASSEMBLY: No

SENATE: No

FLOOR AMENDMENT STATEMENTS: Yes

LEGISLATIVE FISCAL ESTIMATE: No

FINAL VERSION (1st reprint):

VETO MESSAGE: No

GOVERNOR'S PRESS RELEASE ON SIGNING: No

FOLLOWING WERE PRINTED:

To check for circulating copies, contact New Jersey State Government Publications at the State Library (609) 278-2640 ext.103 or mailto:refdesk@njstatelib.org

REPORTS: No

HEARINGS: Yes

New Jersey. Legislature. Senate. Judiciary Committee.

Public hearing on issues dealing with public access records, held March 9, 2000, Trenton 2000 Library call number: 973.90 A673, 2000 (available online at https://dspace.njstatelib.org//handle/10929/22417).

NEWSPAPER ARTICLES:

Yes

"Access bill faces final vote," 1-6-2002 Home News, p.A1

"Senate passes public access proposal," 1-8-2002 The Press, p.A1

"New public access means more open government," 1-9-2002 Asbury Park Press, p.A3

"Citizens gain more access," 1-9-2002 The Press, p.A4

"NJ public record made more accessible," 1-9-202 Philadelphia Inquirer, p.B1

"Loophole in open-records law likely to be closed," 1-9-2002 Asbury Park Press, p.A1

"Bill expanding public access to records becomes law," 1-9-2002 Home News, p.A3

GOVERNOR MCGREEVEY'S MESSAGE REGARDING LAW

Yes

§§6-14 -C.47:1A-5 to 47:1A-13 §15 - T&E §16 - Approp. §17 - Repealer §18 - Note to §§1-17

P.L. 2001, CHAPTER 404, approved January 8, 2002 Assembly, No. 1309 (Fifth Reprint)

1	AN ACT concerning public access to government records ⁴ [and]. ⁴
2	amending and supplementing P.L.1963, c.73 (C.47:1A-1 et seq.) 4.
3	amending P.L.1995, c.23 and P.L.1998, c.17, establishing a Privacy
4	Study Commission and making an appropriation for the expenses
5	thereof, and repealing parts of the statutory law ⁴ .
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7	Be It Enacted by the Senate and General Assembly of the State
8	of New Jersey:
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10	1. Section 1 of P.L.1963, c.73 (C.47:1A-1) is amended to read as
11	follows:
12	1. The Legislature finds and declares it to be the public policy of
13	this State that [public] 4:4
14	government records shall be readily accessible for inspection,
15	copying, or examination by the citizens of this State, with certain
16	exceptions, for the protection of the public interest, and any limitations
17	on the right of access accorded by P.L.1963, c.73 (C.47:1A-1 et seq.)
18	as amended and supplemented, shall be construed in favor of the
19	public's right of access ⁴ [.]; ⁴
20	⁴ [All] all ⁴ government records shall be subject to public access
21	unless exempt from such access by: P.L.1963, c.73 (C.47:1A-1 et
22	seq.) as amended and supplemented; any other statute; resolution of
23	either or both houses of the Legislature; regulation promulgated under
24	the authority of any statute or Executive Order of the Governor;
25	Executive Order of the Governor; Rules of Court; any federal law,
26	⁴ [other than the federal Freedom of Information Act (5)
27	<u>U.S.C.s.552);</u>] ⁴ federal regulation ⁴ [;], ⁴ or federal order ⁴ ;
28	a public agency has a responsibility and an obligation to safeguard
29	from public access a citizen's personal information with which it has
30	been entrusted when disclosure thereof would violate the citizen's
31	reasonable expectation of privacy; and nothing contained in P.L.1963,

EXPLANATION - Matter enclosed in bold-faced brackets [thus] in the above bill is not enacted and intended to be omitted in the law.

c.73 (C.47:1A-1 et seq.), as amended and supplemented, shall be

Matter underlined thus is new matter.

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Matter enclosed in superscript numerals has been adopted as follows:

- ¹ Assembly ASG committee amendments adopted March 6, 2000.
- ² Assembly floor amendments adopted March 27, 2000.
- ³ Assembly floor amendments adopted June 26, 2000.
- ⁴ Senate floor amendments adopted May 3, 2001.
- ⁵ Assembly floor amendments adopted January 3, 2002.

- 1 construed as affecting in any way the common law right of access to
- 2 any record, including but not limited to criminal investigatory records
- 3 of a law enforcement agency⁴.

4 (cf: P.L.1963, c.73, s.1)

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- 2. Section 1 of P.L.1995, c.23 (C.47:1A-1.1 et seq.) Is amended to read as follows:
- 8 1. As used in ¹[this act] <u>P.L.1963, c.73 (C.47:1A-1 et seq.) as</u>
 9 amended and supplemented ¹:

"Biotechnology" means any technique that uses living organisms, or parts of living organisms, to make or modify products, to improve plants or animals, or to develop micro-organisms for specific uses; including the industrial use of recombinant DNA, cell fusion, and novel

14 bioprocessing techniques.

- "Custodian of a government record" or "custodian" means ¹ [the head of a public agency having custody or control of a government record or the head's designee or designees] ⁴[,] ⁴ in the case of a municipality, the municipal clerk and in the case of any other public agency, the officer officially designated by formal action of that agency's director or governing body, as the case may be ¹.
- "Government record" or "record" means any paper, written or 21 22 printed book, document, drawing, map, plan, photograph, microfilm, 23 data processed or image processed document, information stored or 24 maintained electronically or by sound-recording or in a similar device, or any copy thereof, that has been made, maintained or kept on file ³in 25 the course of his or its official business³ by any officer, commission, 26 27 agency or authority of the State or of any political subdivision thereof, including subordinate boards thereof, or that has been received ⁴in the 28 29 course of his or its official business⁴ by any such officer, commission, 30 agency, or authority of the State or of any political subdivision thereof, including subordinate boards thereof. The terms shall not 31 32 include inter-agency or intra-agency advisory, consultative, or deliberative material. 33
 - ⁴A government record shall not include the following information which is deemed to be confidential for the purposes of P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and supplemented:
- 5 information received by a member of the Legislature from a
 constituent or information held by a member of the Legislature
 concerning a constituent, including but not limited to information in
 written form or contained in any e-mail or computer data base, or in
 any telephone record whatsoever, unless it is information the
 constituent is required by law to transmit;
- 43 <u>any memorandum, correspondence, notes, report or other</u>
 44 <u>communication prepared by, or for, the specific use of a member of the</u>
 45 <u>Legislature in the course of the member's official duties, except that</u>
- 46 this provision shall not apply to an otherwise publicly-accessible report

1 which is required by law to be submitted to the Legislature or its 2 members; 3 any copy, reproduction or facsimile of any photograph, negative or 4 print, including instant photographs and videotapes of the body, or any 5 portion of the body, of a deceased person, taken by or for the medical examiner at the scene of death or in the course of a post mortem 6 7 examination or autopsy made by or caused to be made by the medical 8 examiner except: 9 when used in a criminal action or proceeding in this State which 10 relates to the death of that person, 11 for the use as a court of this State permits, by order after good 12 cause has been shown and after written notification of the request for 13 the court order has been served at least five days before the order is 14 made upon the county prosecutor for the county in which the post 15 mortem examination or autopsy occurred. for use in the field of forensic pathology or for use in medical or 16 17 scientific education or research, or 18 for use by any law enforcement agency in this State or any other State or federal law enforcement agency;⁵ 19 20 criminal investigatory records; 21 victims' records, except that a victim of a crime shall have access to 22 the victim's own records; 23 trade secrets and proprietary commercial or financial information 24 obtained from any source. For the purposes of this paragraph, trade 25 secrets shall include data processing software obtained by a public 26 body under a licensing agreement which prohibits its disclosure; 27 any record within the attorney-client privilege. This paragraph shall 28 not be construed as exempting from access attorney or consultant bills 29 or invoices except that such bills or invoices may be redacted to 30 remove any information protected by the attorney-client privilege; 31 administrative or technical information regarding computer 32 hardware, software and networks which, if disclosed, would jeopardize 33 computer security; 34 emergency or security information or procedures for any buildings 35 or facility which, if disclosed, would jeopardize security of the building 36 or facility or persons therein; 37 security measures and surveillance techniques which, if disclosed, would create a risk to the safety of persons, property, electronic data 38 39 or software; 40 information which, if disclosed, would give an advantage to 41 competitors or bidders; 42 information generated by or on behalf of public employers or public 43 employees in connection with any sexual harassment complaint filed 44 with a public employer or with any grievance filed by or against an

individual or in connection with collective negotiations, including

documents and statements of strategy or negotiating position;

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1 information which is a communication between a public agency and 2 its insurance carrier, administrative service organization or risk 3 management office; 4 information which is to be kept confidential pursuant to court 5 order; and 6 that portion of any document which discloses the social security 7 number, credit card number, unlisted telephone number or driver 8 license number of any person; except for use by any government 9 agency, including any court or law enforcement agency, in carrying out 10 its functions, or any private person or entity acting on behalf thereof, 11 or any private person or entity seeking to enforce payment of court-12 ordered child support; except with respect to the disclosure of driver 13 information by the Division of Motor Vehicles as permitted by section 14 2 of P.L.1997, c.188 (C.39:2-3.4); and except that a social security 15 number contained in a record required by law to be made, maintained or kept on file by a public agency shall be disclosed when access to the 16 17 document or disclosure of that information is not otherwise prohibited by State or federal law, regulation or order or by State statute, 18 19 resolution of either or both houses of the Legislature, Executive Order of the Governor, rule of court or regulation promulgated under the 20 21 authority of any statute or executive order of the Governor. 22 A government record shall not include, with regard to any public 23 institution of higher education, the following information which is 24 deemed to be privileged and confidential: 25 pedagogical, scholarly and/or academic research records and/or the 26 specific details of any research project conducted under the auspices 27 of a public higher education institution in New Jersey, including, but 28 not limited to research, development information, testing procedures, 29 or information regarding test participants, related to the development 30 or testing of any pharmaceutical or pharmaceutical delivery system, 31 except that a custodian may not deny inspection of a government 32 record or part thereof that gives the name, title, expenditures, source 33 and amounts of funding and date when the final project summary of 34 any research will be available; 35 test questions, scoring keys and other examination data pertaining 36 to the administration of an examination for employment or academic 37 examination; 38 records of pursuit of charitable contributions or records containing 39 the identity of a donor of a gift if the donor requires non-disclosure of 40 the donor's identity as a condition of making the gift provided that the 41 donor has not received any benefits of or from the institution of higher 42 education in connection with such gift other than a request for 43 memorialization or dedication; valuable or rare collections of books and/or documents obtained by 44

gift, grant, bequest or devise conditioned upon limited public access;

information contained on individual admission applications; and

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information concerning student records or grievance or disciplinary
 proceedings against a student to the extent disclosure would reveal the
 identity of the student.⁴

4 ["Local agency" means a county or municipality, and includes a local health board or other local subdivision.

"State agency" means each of the principal departments in the Executive Branch of the State Government, and all boards, divisions, commissions, agencies, departments, councils, authorities, offices or officers within any such departments now existing or hereafter

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11 "Public agency" or "agency" means any of the principal departments 12 in the Executive Branch of State Government, and any division, board, 13 bureau, office, commission or other instrumentality within or created 14 by such department; the Legislature of the State and any office, board, 15 bureau or commission within or created by the Legislative Branch; and any independent State authority, commission, instrumentality or 16 17 agency. The terms also mean any political subdivision of the State or 18 combination of political subdivisions, and any division, board, bureau, 19 office, commission or other instrumentality within or created by a 20 political subdivision of the State or combination of political subdivisions, and any independent authority, commission, 21 22 instrumentality or agency created by a political subdivision or 23 combination of political subdivisions.

⁴"Law enforcement agency" means a public agency, or part thereof, determined by the Attorney General to have law enforcement responsibilities.

⁵"Constituent" means any State resident or other person communicating with a member of the Legislature.

"Member of the Legislature" means any person elected or selected
 to serve in the New Jersey Senate or General Assembly.

"Criminal investigatory record" means a record which is not required by law to be made, maintained or kept on file that is held by a law enforcement agency which pertains to any criminal investigation or related civil enforcement proceeding.

"Victim's record" means an individually-identifiable file or
 document held by a victims' rights agency which pertains directly to a
 victim of a crime except that a victim of a crime shall have access to
 the victim's own records.

"Victim of a crime" means a person who has suffered personal or psychological injury or death or incurs loss of or injury to personal or real property as a result of a crime, or if such a person is deceased or incapacitated, a member of that person's immediate family.

"Victims' rights agency" means a public agency, or part thereof, the
 primary responsibility of which is providing services, including but not
 limited to food, shelter, or clothing, medical, psychiatric, psychological
 or legal services or referrals, information and referral services,

- 1 counseling and support services, or financial services to victims of
- 2 <u>crimes, including victims of sexual assault, domestic violence, violent</u>
- 3 <u>crime, child endangerment, child abuse or child neglect, and the</u>
- 4 <u>Victims of Crime Compensation Board, established pursuant to</u>
- 5 P.L.1971, c.317 (C.52:4B-1 et seq.).⁴
- 6 (cf: P.L.1995, c.23, s.1)

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- 8 3. Section 2 of P.L.1995, c.23 (C.47:1A-1.2) is amended to read 9 as follows:
- 2. a. When federal law or regulation requires the submission of biotechnology trade secrets and related confidential information,
- 12 [State and local agencies] <u>a public agency</u> shall not have access to this
- 13 information except as allowed by federal law.
- b. A [State or local agency] <u>public agency</u> shall not make any
- 15 [information] biotechnology trade secrets and related confidential
- 16 <u>information</u> it has access to under this act available to any other [State
- or local agency] public agency, or to the general public, except as
- allowed pursuant to federal law.
- 19 (cf: P.L.1995, c.23, s.2)

- 21 4. Section 1 of P.L.1998, c.17 (C.47:1A-2.2) is amended to read 22 as follows:
- 1. a. Notwithstanding the provisions of P.L.1963, c.73 (C.47:1A-1
- 24 et seq.) or the provisions of any other law to the contrary, where it
- 25 shall appear that a person who is ⁴[serving a term of imprisonment or
- 26 is on parole or probation as the result of a conviction] convicted⁴ of
- 27 any indictable offense under the laws of this State, any other state or
- 28 the United States is seeking [public] government records containing
- 29 personal information pertaining to the person's victim or the victim's
- 30 family, including but not limited to a victim's home address, home
- 31 telephone number, work or school address, work telephone number,
- 32 social security account number, medical history or any other
- 33 identifying information, the right of [examination herein] access
- provided for in P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and
- 35 <u>supplemented</u> shall be denied.
- b. [Public] ⁴[Government records] A government record⁴
- 37 containing personal identifying information which is protected under
- 38 the provisions of this section may be released ⁴[to an inmate or his
- representative]⁴ only if the information is necessary to assist in the
 40 ⁴[inmate's own]⁴ defense ⁴of the requestor⁴. A determination that the
- A- -
- 41 information is necessary to assist in the ⁴[inmate's] requestor's ⁴
- 42 defense shall be made by the court upon motion by the ⁴[inmate]
- 43 requestor⁴ or his representative.
- ⁴c. Notwithstanding the provisions of P.L.1963, c.73 (C.47:1A-1)
- 45 et seq.) as amended and supplemented, or any other law to the

1 contrary, a custodian shall not comply with an anonymous request for 2 a government record which is protected under the provisions of this 3 section.4 4 (cf: P.L.1998, c.17, s.1.) 5 5. Section 3 of P.L.1963, c.73 (C.47:1A-3) is amended to read as 6 7 follows: 8 3. ⁴a. An entire and a notwithstanding the provisions of [this act] P.L.1963, 9 c.73 (C.47:1A-1 et seq.) as amended and supplemented, where it shall 10 appear that the record or records which are sought to be inspected, 11 <u>copied</u>, <u>or</u> examined shall pertain to an investigation in progress by any 12 [such body,] <u>public</u> agency, [commission, board, authority or official,] the right of [examination herein] access provided for in 13 14 P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and supplemented may 15 be denied if the inspection, copying or [publication] examination of 16 such record or records shall be inimical to the public interest; 17 provided, however, that this provision shall not be construed to 18 [prohibit any such body, agency, commission, board, authority or 19 official from opening such record or records for public examination 20 if not otherwise prohibited by law allow any public agency to prohibit access to a record 4 of that agency 4 that was open for public 21 inspection, examination, or copying before the investigation 22 23 commenced. ⁴Whenever a public agency, during the course of an 24 investigation, obtains from another public agency a government record 25 that was open for public inspection, examination or copying before the 26 investigation commenced, the investigating agency shall provide the 27 other agency with sufficient access to the record to allow the other 28 agency to comply with requests made pursuant to P.L.1963, c.73 29 (C.47:1A-1 et seq.).⁴ 30 ⁴b. Notwithstanding the provisions of P.L.1963, c.73 (C.47:1A-1) 31 et seq.), as amended and supplemented, the following information 32 concerning a criminal investigation shall be available to the public 33 within 24 hours or as soon as practicable, of a request for such 34 information: 35 where a crime has been reported but no arrest yet made, 36 information as to the type of crime, time, location and type of weapon, 37 if any; 38 if an arrest has been made, information as to the name, address and 39 age of any victims unless there has not been sufficient opportunity for 40 notification of next of kin of any victims of injury and/or death to any such victim or where the release of the names of any victim would be 41 42 contrary to existing law or Court Rule. In deciding on the release of 43 information as to the identity of a victim, the safety of the victim and 44 the victim's family, and the integrity of any ongoing investigation, shall 45 be considered;

if an arrest has been made, information as to the defendant's name,

1 age, residence, occupation, marital status and similar background 2 information and, the identity of the complaining party unless the 3 release of such information is contrary to existing law or Court Rule; 4 information as to the text of any charges such as the complaint, 5 accusation and indictment unless sealed by the court or unless the release of such information is contrary to existing law or court rule; 6 7 information as to the identity of the investigating and arresting 8 personnel and agency and the length of the investigation;

information of the circumstances immediately surrounding the arrest, including but not limited to the time and place of the arrest, resistance, if any, pursuit, possession and nature and use of weapons and ammunition by the suspect and by the police; and

information as to circumstances surrounding bail, whether it was posted and the amount thereof.

Notwithstanding any other provision of this subsection, where it shall appear that the information requested or to be examined will jeopardize the safety of any person or jeopardize any investigation in progress or may be otherwise inappropriate to release, such information may be withheld. This exception shall be narrowly construed to prevent disclosure of information that would be harmful to a bona fide law enforcement purpose or the public safety. Whenever a law enforcement official determines that it is necessary to withhold information, the official shall issue a brief statement explaining the decision.⁴

25 (cf: P.L.1963, c.73, s.3)

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27 6. (New Section) a. The custodian of a government record shall 28 permit the record to be inspected, examined, and copied by any person 29 during regular business hours ⁴[,] ; or in the case of a municipality 30 having a population of 5,000 or fewer according to the most recent federal decennial census, a board of education having a total district 31 32 enrollment of 500 or fewer, or a public authority having less than \$10 33 million in assets, during not less than six regular business hours over not less than three business days per week or the entity's regularly-34 scheduled business hours, whichever is less; 4 unless a government 35 36 record is exempt from public access by: P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and supplemented; any other statute; resolution of 37 38 either or both houses of the Legislature; regulation promulgated under 39 the authority of any statute or Executive Order of the Governor; 40 Executive Order of the Governor; Rules of Court; any federal law ⁴[, other than the federal Freedom of Information Act (5 U.S.C.s.552)]⁴; 41 federal regulation; or federal order. ⁴[An agency] Prior to allowing 42 43 access to any government record, the custodian thereof shall redact 44 from that record any information which discloses the social security 45 number, credit card number, unlisted telephone number, or driver license number of any person; except for use by any government 46

1 agency, including any court or law enforcement agency, in carrying out

2 its functions, or any private person or entity acting on behalf thereof,

3 or any private person or entity seeking to enforce payment of court-

4 ordered child support; except with respect to the disclosure of driver

5 <u>information by the Division of Motor Vehicles as permitted by section</u>

6 <u>2 of P.L.1997, c.188 (C.39:2-3.4); and except that a social security</u>

7 <u>number contained in a record required by law to be made, maintained</u>

8 or kept on file by a public agency shall be disclosed when access to the
 9 document or disclosure of that information is not otherwise prohibited

by State or federal law, regulation or order or by State statute,

11 <u>resolution of either or both houses of the Legislature, Executive Order</u>

of the Governor, rule of court or regulation promulgated under the

authority of any statute or executive order of the Governor. Except

where an agency can demonstrate an emergent need, a⁴ regulation that

15 limits access to government records shall not be retroactive in effect

or applied to deny a request for access to a government record that is

pending before the agency ⁴, the council ⁴ or a court at the time of the

18 adoption of the regulation.

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b. A copy or copies of a government record may be purchased by any person upon payment of the fee prescribed by law or regulation, or if a fee is not prescribed by law or regulation, upon payment of the actual cost of duplicating the record. Except as otherwise provided by law or regulation, the fee assessed for the duplication of a government record embodied in the form of printed matter shall not exceed the following: first page to tenth page, \$0.75 per page; eleventh page to twentieth page, \$0.50 per page; all pages over twenty, \$0.25 per page. The actual cost of duplicating the record shall be the cost of materials and supplies used to make a copy of the record, but shall not include the cost of labor or other overhead expenses associated with making the copy ¹ except as provided for in subsection c. of this section¹. If a public agency can ⁴[show] demonstrate⁴ that its actual costs for duplication of a government record exceed the foregoing rates, the public agency shall be permitted to charge the actual cost of duplicating the record.

c. Whenever the nature, format, manner of collation, or volume of a government record embodied in the form of printed matter to be inspected, examined, or copied pursuant to this section is such that the record cannot be reproduced by ordinary document copying equipment in ordinary business size or involves an extraordinary expenditure of time and effort to accommodate the request, the public agency may charge, in addition to the actual cost of duplicating the record, a special service charge that shall be reasonable and shall be based upon the actual direct cost of providing the copy or copies ⁴: provided, however, that in the case of a municipality, rates for the duplication of particular records when the actual cost of copying exceeds the foregoing rates shall be established in advance by ordinance ⁴. The

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1 requestor shall have the opportunity to review and object to the charge 2 prior to it being incurred.

- 3 d. A custodian shall permit access to a government record and provide a copy thereof in the medium requested if the public agency maintains the record in that medium. If the public agency does not maintain the record in the medium requested, the custodian shall either 6 convert the record to the medium requested or provide a copy in some other meaningful medium. If a request is for a record: (1) in a medium not routinely used by the agency; (2) not routinely developed or maintained by an agency; or (3) requiring a substantial amount of manipulation or programming of information technology, the agency may charge, in addition to the actual cost of duplication, a special charge that shall be reasonable and shall be based on the cost for any extensive use of information technology, or for the labor cost of personnel providing the service, that is actually incurred by the agency or attributable to the agency for the programming, clerical, and supervisory assistance required, or both.
 - e. ⁴Immediate access ordinarily shall be granted to budgets, bills, vouchers, contracts, including collective negotiations agreements and individual employment contracts, and public employee salary and overtime information.
- f. The custodian of a public agency shall adopt a form for the use 22 23 of any person who requests access to a government record held or controlled by the public agency. The form shall provide ⁴[for 24 indication of space for the name, address, and phone number of the 25 requestor and a brief description of the government record sought ⁴[, 26 27 but the requestor shall have the option to not provide a name, address, or phone number]⁴. The form shall include space for the custodian to 28 29 indicate which record will be made available, when the record will be 30 available, and the fees to be charged. The form shall also include the 31 following: (1) specific directions and procedures for requesting a 32 record; (2) a statement as to whether prepayment of fees or a deposit 33 is required; (3) the time period within which the public agency is 34 required by P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and 35 supplemented, to make the record available; (4) a statement of the 36 requestor's right to challenge a decision by the public agency to deny 37 access and the procedure for filing an appeal; (5) space for the 38 custodian to list reasons if a request is denied in whole or in part; (6) 39 space for the requestor to sign and date the form; (7) space for the 40 custodian to sign and date the form if the request is fulfilled or denied. 41 ¹The custodian may require a deposit against costs for reproducing 42 documents sought through an anonymous request whenever the 43 custodian anticipates that the information thus requested will cost in excess of ⁴[\$15] \$5⁴ to reproduce.¹ 44
 - ⁴[f.] g. ⁴ A request for access to a government record shall be in writing and hand-delivered, mailed, transmitted electronically, or

1 otherwise conveyed to the appropriate custodian. A custodian shall 2 promptly comply with a request to inspect, examine, copy, or provide 3 a copy of a government record. If the custodian is unable to comply 4 with a request for access, the custodian shall indicate the specific basis 5 therefor on the request form and promptly return it to the requestor. The custodian shall sign and date the form ⁴and provide the requestor 6 with a copy thereof⁴. If the custodian of a government record asserts 7 8 that part of a particular record is exempt from public access pursuant 9 to P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and supplemented, 10 the custodian shall delete or excise from a copy of the record that 11 portion which the custodian asserts is exempt from access and shall promptly permit access to the remainder of the record. 12 government record requested is temporarily unavailable because it is 13 14 in use or in storage, the custodian shall so advise the requestor and 15 shall make arrangements to promptly make available a copy of the If a request for access to a government record would 16 17 substantially disrupt agency operations, the custodian may deny access 18 to the record after attempting to reach a reasonable solution with the 19 requestor that accommodates the interests of the requestor and the 20 agency. 21

⁴[g.] <u>h.</u> Any officer or employee of a public agency who receives a request for access to a government record shall forward the request to the custodian of the record or direct the requestor to the custodian of the record.

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⁴[h.] <u>i.</u> ⁴ Unless a shorter time period is otherwise provided by 25 statute, regulation, or executive order, a custodian of a government 26 record shall grant ¹access to a government record ¹ or deny a request 27 for access to a government record as soon as possible, but not later 28 than seven business days after receiving the request ³, provided that 29 the record is currently available and not in storage or archived ⁴[and 30 the record consists of a total of 100 or fewer pages³.]⁴ In the event a 31 32 custodian fails to respond within seven business days after receiving 33 a request, the failure to respond shall be deemed a denial of the 34 request, unless the requestor has elected not to provide a name, 35 address or telephone number, or other means of contacting the 36 requestor. If the requestor has elected not to provide a name, address, or telephone number, or other means of contacting the requestor, the 37 custodian shall not be required to respond until the requestor 38 39 reappears before the custodian seeking a response to the original request. ³If the government record is in storage or archived ⁴[or 40 exceeds 100 pages]⁴, the requestor shall be so advised within seven 41 business days after the custodian receives the request. The requestor 42 43 shall be advised by the custodian when the record can be made 44 available. If the record is not made available by that time, access shall be deemed denied.³ 45 46

⁴[i.] j. A custodian shall post prominently in public view in the

part or parts of the office or offices of the custodian that are open to or frequented by the public a statement that sets forth in clear, concise and specific terms the right to appeal a denial of, or failure to provide, access to a government record by any person for inspection, examination, or copying or for purchase of copies thereof and the procedure by which an appeal may be filed.

<u>k.</u>⁴ The files maintained by the Office of the Public Defender that relate to the handling of any case shall be considered confidential and shall not be open to inspection by any person unless authorized by law, court order, or the State Public Defender.

7. (New Section) A person who is denied access to a government record by the custodian of the record, at the option of the requestor, 4 [(1)] 4 may 4 [institute a proceeding to challenge the custodian's decision by filing in the Superior Court an action in lieu of prerogative writ or an order to show cause, or both, or (2) if the record to which access is denied is in the custody of a State agency as defined in section 2 of P.L.1968, c.410 (C.52:14B-2), may have the matter considered a contested case and handled in the manner provided in the "Administrative Procedure Act," P.L.1968, c.410 (C.52:14B-1et seq.); or (3) if the custodian is an officer, official or employee of a municipality or municipal entity 2 .of a county or county entity, or of a board of education 2 , may challenge the custodian's decision by filing a complaint in the municipal court of the municipality in which access was denied.

If a complaint is filed with a municipal court, the court clerk shall transmit the complaint by the end of the second business day following receipt to the assignment judge for the vicinage in which that municipal court is located for assignment of the complaint either to the municipal court in which it was filed or to another municipal court in the vicinage for disposition. The municipal courts in a vicinage in which a complaint is filed shall have jurisdiction of proceedings initiated by such a complaint to enforce the provisions of P.L.1963, c.73 (C.47:1A-1 et seq.), as amended and supplemented, notwithstanding N.J.S.2B:12-16 to the contrary. At the request of the requestor who filed a complaint, the municipal prosecutor shall represent the requestor in the proceedings before the municipal court, at no cost to the requestor]:

institute a proceeding to challenge the custodian's decision by filing an action in Superior Court which shall be heard in the vicinage where it is filed by a Superior Court Judge who has been designated to hear such cases because of that judge's knowledge and expertise in matters relating to access to government records; or

relating to access to government records; or
 in lieu of filing an action in Superior Court, file a complaint with
 the Government Records Council established pursuant to section 8 of
 P.L., c. (C.) (now pending before the Legislature as this bill)⁴.

The right to institute any proceeding under this section ⁴ [upon a 1 denial of access]⁴ shall be solely that of the requestor. Any such 2 3 proceeding shall proceed in a summary or expedited manner. The 4 public agency shall have the burden of proving that the denial of access 5 is authorized by law. If it is determined that access has been improperly denied, the court or agency head shall order that access be 6 7 allowed. ⁴[If a decision of a municipal court finding that access has 8 been improperly denied is appealed, the county prosecutor shall 9 represent the appellee, at the request of the appellee, in the proceedings on the appeal, at no cost to the appellee.]⁴ A requestor 10 who prevails in any proceeding ⁴[instituted under this section] ⁴ shall 11 be entitled to ⁴[taxed costs, and may be awarded]⁴ a reasonable 12 attorney's fee. ⁴[A custodian who prevails in any proceeding 13 instituted under this section shall be entitled to taxed costs.]⁴ 14

- 16 ⁴8. (New section) a. There is established in the Department of 17 Community Affairs a Government Records Council. The council shall consist of the Commissioner of Community Affairs or the 18 19 commissioner's designee, the Commissioner of Education or the 20 commissioner's designee, and three public members appointed by the 21 Governor, with the advice and consent of the Senate, not more than 22 two of whom shall be of the same political party. The three public 23 members shall serve during the term of the Governor making the 24 appointment and until the appointment of a successor. A public 25 member shall not hold any other State or local elected or appointed office or employment while serving as a member of the council. A 26 27 public member shall not receive a salary for service on the council but 28 shall be reimbursed for reasonable and necessary expenses associated 29 with serving on the council and may receive such per diem payment as 30 may be provided in the annual appropriations act. A member may be 31 removed by the Governor for cause. Vacancies among the public 32 members shall be filled in the same manner in which the original 33 appointment was made. The members of the council shall choose one 34 of the public members to serve as the council's chair. The council may 35 employ an executive director and such professional and clerical staff as it deems necessary and may call upon the Department of 36 37 Community Affairs for such assistance as it deems necessary and may 38 be available to it.
- b. The Government Records Council shall:
- 40 <u>establish an informal mediation program to facilitate the resolution</u>
 41 <u>of disputes regarding access to government records;</u>
- receive, hear, review and adjudicate a complaint filed by any person
 concerning a denial of access to a government record by a records
 custodian;
- issue advisory opinions, on its own initiative, as to whether a particular type of record is a government record which is accessible to

1 the public;

prepare guidelines and an informational pamphlet for use by records
 custodians in complying with the law governing access to public

4 records;

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prepare an informational pamphlet explaining the public's right of access to government records and the methods for resolving disputes regarding access, which records custodians shall make available to persons requesting access to a government record;

prepare lists for use by records custodians of the types of records in the possession of public agencies which are government records; make training opportunities available for records custodians and other public officers and employees which explain the law governing access to public records; and

operate an informational website and a toll-free helpline staffed by knowledgeable employees of the council during regular business hours which shall enable any person, including records custodians, to call for information regarding the law governing access to public records and allow any person to request mediation or to file a complaint with the counsel when access has been denied;

In implementing the provisions of subsections d. and e. of this section, the council shall: act, to the maximum extent possible, at the convenience of the parties; utilize teleconferencing, faxing of documents, e-mail and similar forms of modern communication; and when in-person meetings are necessary, send representatives to meet with the parties at a location convenient to the parties.

c. At the request of the council, a public agency shall produce documents and ensure the attendance of witnesses with respect to the council's investigation of any complaint or the holding of any hearing.

28 29 d. Upon receipt of a written complaint signed by any person 30 alleging that a custodian of a government record has improperly denied that person access to a government record, the council shall 31 32 offer the parties the opportunity to resolve the dispute through mediation. Mediation shall enable a person who has been denied 33 34 access to a government record and the custodian who denied or failed 35 to provide access thereto to attempt to mediate the dispute through a 36 process whereby a neutral mediator, who shall be trained in mediation 37 selected by the council, acts to encourage and facilitate the resolution 38 of the dispute. Mediation shall be an informal, nonadversarial process 39 having the objective of helping the parties reach a mutually acceptable, 40 voluntary agreement. The mediator shall assist the parties in 41 identifying issues, foster joint problem solving, and explore settlement 42 alternatives.

e. If any party declines mediation or if mediation fails to resolve the matter to the satisfaction of all parties, the council shall initiate an investigation concerning the facts and circumstances set forth in the complaint. The council shall make a determination as to whether the

1 complaint is within its jurisdiction or frivolous or without any 2 reasonable factual basis. If the council shall conclude that the 3 complaint is outside its jurisdiction, frivolous or without factual basis, 4 it shall reduce that conclusion to writing and transmit a copy thereof 5 to the complainant and to the records custodian against whom the 6 complaint was filed. Otherwise, the council shall notify the records 7 custodian against whom the complaint was filed of the nature of the 8 complaint and the facts and circumstances set forth therein. The 9 custodian shall have the opportunity to present the board with any 10 statement or information concerning the complaint which the custodian 11 wishes. If the council is able to make a determination as to a record's 12 accessibility based upon the complaint and the custodian's response 13 thereto, it shall reduce that conclusion to writing and transmit a copy 14 thereof to the complainant and to the records custodian against whom the complaint was filed. If the council is unable to make a 15 determination as to a record's accessibility based upon the complaint 16 17 and the custodian's response thereto, the council shall conduct a hearing on the matter in conformity with the rules and regulations 18 19 provided for hearings by a state agency in contested cases under the "Administrative Procedure Act," P.L.1968, c.410 (C.52:14B-1 et 20 21 seq.), in so far as they may be applicable and practicable. The council 22 shall, by a majority vote of its members, render a decision as to 23 whether the record which is the subject of the complaint is a 24 government record which must be made a available for public access 25 pursuant to P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and supplemented. If the council determines, by a majority vote of its 26 27 members, that a custodian has knowingly and willfully violated 28 P.L.1963, c.73 (C.47:1A-1 et seq.), as amended and supplemented, 29 and is found to have unreasonably denied access under the totality of 30 the circumstances, the council may impose the penalties provided for 31 in section 12 of P.L., c. (C.) (now pending before the 32 Legislature as this bill). A decision of the council may be appealed to 33 the Appellate Division of Superior Court. A decision of the council 34 shall not have value as a precedent for any case initiated in Superior Court pursuant to section 7 of P.L., c. (C.) (now pending before 35 36 the Legislature as this bill). All proceedings of the council pursuant 37 to this subsection shall be conducted as expeditiously as possible. 38 f. The council shall not charge any party a fee in regard to actions 39 filed with the council. The council shall be subject to the provisions 40 of the "Open Public Meetings Act," P.L.1975, c.231 (C.10:4-6), 41 except that the council may go into closed session during that portion 42 of any proceeding during which the contents of a contested record 43 would be disclosed. A requestor who prevails in any proceeding shall 44 be entitled to a reasonable attorney's fee. 45 g. The council shall not have jurisdiction over the Judicial or 46 Legislative Branches of State Government or any agency, officer, or

1 employee of those branches.4 2 ⁴[8.] 9. (New Section) Nothing contained in P.L.1963, c.73 3 (C.47:1A-1 et seq.) 4,4 as amended and supplemented 4,4 shall be 4 construed as limiting 4the4 common law 4right of4 access to 4a4 5 government ⁴[records] record, including criminal investigatory 6 7 records of a law enforcement agency⁴. 8 ⁴[³9.] 10.⁴ (New Section) a. The provisions of this act, P.L. 9 10 c. (C.) (now pending before the Legislature as this bill), shall not abrogate any exemption of a public record or government record 11 from public access heretofore made pursuant to P.L.1963, c.73 12 (C.47:1A-1 et seq.); any other statute; resolution of either or both 13 14 Houses of the Legislature; regulation promulgated under the authority of any statute or Executive Order of the Governor; Executive Order 15 of the Governor; Rules of Court; any federal law; federal regulation; 16 17 or federal order. b. The provisions of this act, P.L., c. (C.) (now pending 18 before the Legislature as this bill), shall not abrogate or erode any 19 executive or legislative privilege or grant of confidentiality heretofore 20 21 established or recognized by the Constitution of this State, statute, 22 court rule or judicial case law, which privilege or grant of 23 confidentiality may duly be claimed to restrict public access to a public record or government record.3 24 25 ⁴[³10.] 11. (New section) Notwithstanding the provisions of 26 P.L.1963, c.73 (C.47:1A-1 et seq.) or any other law to the contrary, 27 the personnel or pension records of any individual in the possession of 28 a public agency ⁴, including but not limited to records relating to any 29 grievance filed by or against an individual, 4 shall not be considered a 30 government record and shall not be made available for public access, 31 32 except that: 33 an individual's name, title, position, salary, payroll record, length of 34 service, date of separation and the reason therefor, and the amount and 35 type of any pension received shall be a government record; 36 personnel or pension records of any individual shall be accessible when required to be disclosed by another law, when disclosure is 37 essential to the performance of official duties of a person duly 38 39 authorized by this State or the United States, or when authorized by 40 an individual in interest; and 41 data contained in information which disclose conformity with 42 specific experiential, educational or medical qualifications required for 43 government employment or for receipt of a public pension, but not 44 including any detailed medical or psychological information, shall be a government record.³ 45

³[9.] ⁴[11.³] 12.⁴ (New Section) a. A public official, officer, 1 2 employee or custodian who knowingly and willfully violates P.L.1963, c.73 (C.47:1A-1 et seq.), as amended and supplemented, ³and is found 3 to have unreasonably denied access under the totality of the 4 circumstances,³ shall be subject to a civil penalty of \$1,000 for an 5 initial violation, \$2,500 for a second violation that occurs within 10 6 7 years of an initial violation, and \$5,000 for a third violation that occurs 8 within 10 years of an initial violation. This penalty shall be collected and enforced in ⁴[summary] ⁴ proceedings in accordance with ⁴["the 9 penalty enforcement law," N.J.S.2A:58-1 et seq.] the "Penalty 10 Enforcement Law of 1999," P.L.1999, c.274 (C.2A:58-10 et seq.)⁴, 11 and the rules of court governing actions for the collection of civil 12 penalties. The Superior Court ⁴[and the municipal courts] ⁴ shall have 13 jurisdiction of proceedings for the collection and enforcement of the 14 penalty imposed by this section. ⁴[An action shall be brought in the 15 name of the State upon the complaint of the Attorney General, the 16 17 municipal prosecutor of the municipality in which the violation 18 occurred or the county prosecutor of the county in which the violation 19 occurred. 20

The court also may recommend to an appropriate entity that appropriate]

Appropriate⁴ disciplinary proceedings ⁴may⁴ be initiated against a public official, officer, employee or custodian against whom a penalty has been imposed.

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⁴13. (New section) The New Jersey Supreme Court may adopt such court rules as it deems necessary to effectuate the purposes of this act. ⁴

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⁴14. (New section) The Commissioner of Community Affairs shall include in the annual budget request of the Department of Community Affairs a request for sufficient funds to effectuate the purposes of section 8 of P.L., c. (C.) (now pending before the Legislature as this bill).⁴

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⁴15. (New section) a. There is established a temporary Privacy 36 Study Commission which shall consist of 13 members. The President 37 38 of the Senate, the Minority Leader of the Senate, the Speaker of the General Assembly and the Minority Leader of the General Assembly 39 40 shall each appoint one public member. The Governor shall appoint nine members and shall designate one of the commission's members to 41 42 serve as chair of the commission. In making appointments to the 43 commission, legislative leaders and the Governor shall cooperate and 44 coordinate to ensure that the representatives of the following groups 45 and organizations are represented among the commission's 46 membership and that the membership represents a balance between

- 1 groups which advocate citizen privacy interests and groups which
- 2 advocate increased access to government records: State and local law
- 3 enforcement agencies, State and local government officers and
- 4 employees, attorneys practicing in the field of individual privacy rights,
- 5 public interest groups with a record of activity with respect to
- 6 openness in government, crime victim advocates, members of the news
- 7 media, and at least one retired member of the State Judiciary.
- 8 <u>Vacancies in the membership of the commission shall be filled in the</u>
- 9 same manner as the original appointments were made.
- b. The commission shall organize within 14 days after the appointment of a majority of its members.
- c. The commission shall meet at the call of the chair and hold
 hearings at such places as the chair shall designate during the sessions
- 14 and recesses of the Legislature. The commission shall comply with the
- provisions of the "Open Public Meetings Act, P.L.1975, c.231
- 16 (C.10:4-6 et seq.).
- d. The commission shall be entitled to call to its assistance and
- 18 avail itself of the services of the employees of any State, county, or
- 19 <u>municipal department, board, bureau, commission or agency, as it may</u>
- 20 require and as may be available for its purposes, and to employ
- 21 <u>stenographic and clerical assistance and incur traveling and other</u>
- 22 <u>miscellaneous expenses as may be necessary in order to perform its</u>
- 23 duties, within the limits of funds appropriated or otherwise made
- 24 <u>available to it for its purposes.</u>
- 25 <u>e. The commission shall study the privacy issues raised by the</u>
- 26 collection, processing, use and dissemination of information by public
- 27 <u>agencies, in light of the recognized need for openness in government</u>
- 28 and recommend specific measures, including legislation, the
- 29 commission may deem appropriate to deal with these issues and
- 30 safeguard the privacy rights of individuals. In the course of its study,
- 31 the commission shall review the current and proposed means used for
- 32 the collection, processing, use and dissemination of information by
- 33 State and local government agencies.
- 34 <u>f. The commission shall report its findings and recommendations</u>
- 35 to the Governor and the Legislature within 18 months of the effective
- 36 <u>date of P.L.</u>, c. (C.) (now pending before the Legislature as this
- 37 <u>bill</u>) and may accompany the same with any legislative bills which it
- 38 may desire to recommend for adoption by the Legislature.⁴
- 39
- 40 416. There is appropriated \$95,000 from the General Fund to the
- 41 Privacy Study Commission established pursuant to section 15 of P.L.,
- 42 c. (C.) (now pending before the Legislature as this bill).4

- ¹[10.] ⁴[12.¹] 17. ⁴ Section 2 of P.L.1963, c.73 (C.47:1A-2),
- 45 section 8 of P.L.1994, c.140 (C.47:1A-2.1) and section 4 of P.L.1963,
- 46 c.73 (C.47:1A-4) are repealed.

A1309 [5R] 19

1	¹ [11.] ⁴ [13 ¹] 18. ⁴ ⁴ [This] Sections 15 and 16 of this ⁴ act shall
2	take effect ⁴ immediately and expire upon the date that the Privacy
3	Study Commission submits its report to the Governor and the
4	<u>Legislature</u> and the remainder of the act shall take effect ⁴ on the ⁴ [first
5	day of the third month following] 180th day after ⁴ enactment ⁴ , except
6	that public agencies may take such anticipatory administrative action
7	in advance as shall be necessary for the implementation of the act ⁴ .
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12	Provides for public access to government records; protects certain
13	government records from public disclosure; establishes Privacy Study
14	Commission and appropriates \$95,000 to commission.

ASSEMBLY, No. 1309

STATE OF NEW JERSEY

209th LEGISLATURE

PRE-FILED FOR INTRODUCTION IN THE 2000 SESSION

Sponsored by:

Assemblyman GEORGE F. GEIST
District 4 (Camden and Gloucester)
Assemblyman JACK COLLINS
District 3 (Salem, Cumberland and Gloucester)

Co-Sponsored by:

Assemblymen Asselta, Augustine, Assemblywoman Heck, Assemblyman R.Smith and Assemblywoman Greenstein

SYNOPSIS

Provides public access to government records.

CURRENT VERSION OF TEXT

As introduced.



(Sponsorship Updated As Of: 3/7/2000)

1	AN ACT concerning public access to government records and
2	amending and supplementing P.L.1963, c.73 (C.47:1A-1 et seq.).
3	

4 BE IT ENACTED by the Senate and General Assembly of the State 5 of New Jersey:

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- 7 1. Section 1 of P.L.1963, c.73 (C.47:1A-1) is amended to read as 8 follows:
- 9 1. The Legislature finds and declares it to be the public policy of
- 11 for inspection, copying, or examination by the citizens of this State,

this State that [public] government records shall be readily accessible

- 12 with certain exceptions, for the protection of the public interest, and
- 13 any limitations on the right of access accorded by P.L.1963, c.73
- 14 (C.47:1A-1 et seq.) as amended and supplemented, shall be construed
- in favor of the public's right of access. All government records shall 15
- 16 be subject to public access unless exempt from such access by:
- 17 P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and supplemented; any
- 18 other statute; resolution of either or both houses of the Legislature;
- 19 regulation promulgated under the authority of any statute or Executive
- 20 Order of the Governor; Executive Order of the Governor; Rules of
- Court; any federal law, other than the federal Freedom of Information 21
- 22 Act (5 U.S.C. s.552); federal regulation; or federal order.
- 23
- (cf: P.L.1963, c.73, s.1)

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- 25 2. Section 1 of P.L.1995, c.23 (C.47:1A-1.1) is amended to read 26 as follows:
 - 1. As used in this act:
- "Biotechnology" means any technique that uses living organisms, 28 29 or parts of living organisms, to make or modify products, to improve 30 plants or animals, or to develop micro-organisms for specific uses; 31 including the industrial use of recombinant DNA, cell fusion, and novel 32 bioprocessing techniques.
- 33 "Custodian of a government record" or "custodian" means the head 34 of a public agency having custody or control of a government record 35 or the head's designee or designees.
- "Government record" or "record" means any paper, written or 36 37 printed book, document, drawing, map, plan, photograph, microfilm, 38 data processed or image processed document, information stored or 39 maintained electronically or by sound-recording or in a similar device,
- 40 or any copy thereof, that has been made, maintained or kept on file by
- 41 any officer, commission, agency or authority of the State or of any
- 42 political subdivision thereof, including subordinate boards thereof, or
- 43 that has been received by any such officer, commission, agency, or

EXPLANATION - Matter enclosed in bold-faced brackets [thus] in the above bill is not enacted and intended to be omitted in the law.

A1309 GEIST, COLLINS

- 1 authority of the State or of any political subdivision thereof, including 2 subordinate boards thereof. The terms shall not include inter-agency
- 3 or intra-agency advisory, consultative, or deliberative material.
- 4 ["Local agency" means a county or municipality, and includes a 5 local health board or other local subdivision.
- "State agency" means each of the principal departments in the 6 7 Executive Branch of the State Government, and all boards, divisions,
- 8 commissions, agencies, departments, councils, authorities, offices or
- 9 officers within any such departments now existing or hereafter 10 established.]
- "Public agency" or "agency" means any of the principal departments 11
- 12 in the Executive Branch of State Government, and any division, board,
- 13 bureau, office, commission or other instrumentality within or created
- 14 by such department; the Legislature of the State and any office, board,
- bureau or commission within or created by the Legislative Branch; and 15
- any independent State authority, commission, instrumentality or 16
- 17 agency. The terms also mean any political subdivision of the State or
- 18 combination of political subdivisions, and any division, board, bureau,
- 19 office, commission or other instrumentality within or created by a
- 20 political subdivision of the State or combination of political
- subdivisions, and any independent authority, commission, 21
- 22 instrumentality or agency created by a political subdivision or
- 23 combination of political subdivisions.
- 24 (cf: P.L.1995, c.23, s.1)

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- 26 3. Section 2 of P.L.1995, c.23 (C.47:1A-1.2) is amended to read 27 as follows:
- 28 2. a. When federal law or regulation requires the submission of
- 29 biotechnology trade secrets and related confidential information,
- 30 [State and local agencies] a public agency shall not have access to this
- 31 information except as allowed by federal law.
- 32 b. A [State or local agency] <u>public agency</u> shall not make any
- 33 [information] biotechnology trade secrets and related confidential
- 34 information it has access to under this act available to any other [State
- 35 or local agency] public agency, or to the general public, except as
- 36 allowed pursuant to federal law.

(cf: P.L.1995, c.23, s.2)

as follows:

- 37 38
- 39 4. Section 1 of P.L.1998, c.17 (C.47:1A-2.2) is amended to read 40
- 41 1. a. Notwithstanding the provisions of P.L.1963, c.73 (C.47:1A-1
- et seq.) or the provisions of any other law to the contrary, where it 42
- 43 shall appear that a person who is serving a term of imprisonment or
- is on parole or probation as the result of a conviction of any indictable 44
- 45 offense under the laws of this State, any other state or the United

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- 1 States is seeking [public] government records containing personal
- 2 information pertaining to the person's victim or the victim's family,
- 3 including but not limited to a victim's home address, home telephone
- 4 number, work or school address, work telephone number, social
- 5 security account number, medical history or any other identifying
- 6 information, the right of [examination herein] access provided for in
- 7 P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and supplemented shall
- 8 be denied.
- 9 b. [Public] <u>Government</u> records containing personal identifying
- 10 information which is protected under the provisions of this section may
- be released to an inmate or his representative only if the information
- 12 is necessary to assist in the inmate's own defense. A determination
- that the information is necessary to assist in the inmate's defense shall
- 14 be made by the court upon motion by the inmate or his representative.
- 15 (cf: P.L.1998, c.17, s.1.)

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- 5. Section 3 of P.L.1963, c.73 (C.47:1A-3) is amended to read as follows:
- 3. Notwithstanding the provisions of [this act] P.L.1963, c.73
- 20 (C.47:1A-1 et seq.) as amended and supplemented, where it shall
- 21 appear that the record or records which are sought to be inspected.
- 22 <u>copied, or</u> examined shall pertain to an investigation in progress by any
- 23 [such body,] public agency, [commission, board, authority or
- 24 official,] the right of [examination herein] access provided for in
- 25 P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and supplemented may
- be denied if the inspection, copying or [publication] examination of
- 27 such record or records shall be inimical to the public interest;
- 28 provided, however, that this provision shall not be construed to
- 29 [prohibit any such body, agency, commission, board, authority or
- 30 official from opening such record or records for public examination
- 31 if not otherwise prohibited by law allow any public agency to prohibit
- access to a record that was open for public inspection, examination, or
 copying before the investigation commenced.
- 34 (cf: P.L.1963, c.73, s.3)

- 6. (New Section) a. The custodian of a government record shall permit the record to be inspected, examined, and copied by any person
- during regular business hours, unless a government record is exempt from public access by: P.L.1963, c.73 (C.47:1A-1 et seq.) as amended
- 40 and supplemented; any other statute; resolution of either or both
- 41 houses of the Legislature; regulation promulgated under the authority
- of any statute or Executive Order of the Governor; Executive Order
- of the Governor; Rules of Court; any federal law, other than the federal Freedom of Information Act (5 U.S.C. s.552); federal
- 45 regulation; or federal order. An agency regulation that limits access to

government records shall not be retroactive in effect or applied to deny a request for access to a government record that is pending before the agency or a court at the time of the adoption of the regulation.

b. A copy or copies of a government record may be purchased by any person upon payment of the fee prescribed by law or regulation, or if a fee is not prescribed by law or regulation, upon payment of the actual cost of duplicating the record. Except as otherwise provided by law or regulation, the fee assessed for the duplication of a government record embodied in the form of printed matter shall not exceed the following: first page to tenth page, \$0.75 per page; eleventh page to twentieth page, \$0.50 per page; all pages over twenty, \$0.25 per page. The actual cost of duplicating the record shall be the cost of materials and supplies used to make a copy of the record, but shall not include the cost of labor or other overhead expenses associated with making the copy. If a public agency can show that its actual costs for duplication of a government record exceed the foregoing rates, the public agency shall be permitted to charge the actual cost of duplicating the record.

c. Whenever the nature, format, manner of collation, or volume of a government record embodied in the form of printed matter to be inspected, examined, or copied pursuant to this section is such that the record cannot be reproduced by ordinary document copying equipment in ordinary business size or involves an extraordinary expenditure of time and effort to accommodate the request, the public agency may charge, in addition to the actual cost of duplicating the record, a special service charge that shall be reasonable and shall be based upon the actual direct cost of providing the copy or copies. The requestor shall have the opportunity to review and object to the charge prior to it being incurred.

d. A custodian shall permit access to a government record and provide a copy thereof in the medium requested if the public agency maintains the record in that medium. If the public agency does not maintain the record in the medium requested, the custodian shall either convert the record to the medium requested or provide a copy in some other meaningful medium. If a request is for a record: (1) in a medium not routinely used by the agency; (2) not routinely developed or maintained by an agency; or (3) requiring a substantial amount of manipulation or programming of information technology, the agency may charge, in addition to the actual cost of duplication, a special charge that shall be reasonable and shall be based on the cost for any extensive use of information technology, or for the labor cost of personnel providing the service, that is actually incurred by the agency or attributable to the agency for the programming, clerical, and supervisory assistance required, or both.

e. The custodian of a public agency shall adopt a form for the use

1 of any person who requests access to a government record held or 2 controlled by the public agency. The form shall provide for indication

3 of the name, address, and phone number of the requestor and a brief

4 description of the government record sought, but the requestor shall

5 have the option to not provide a name, address, or phone number.

6 The form shall include space for the custodian to indicate which record

7 will be made available, when the record will be available, and the fees

8 to be charged. The form shall also include the following: (1) specific

9 directions and procedures for requesting a record; (2) a statement as

10 to whether prepayment of fees or a deposit is required; (3) the time

11 period within which the public agency is required by P.L.1963, c.73

12 (C.47:1A-1 et seq.) as amended and supplemented, to make the record

13 available; (4) a statement of the requestor's right to challenge a

decision by the public agency to deny access and the procedure for

15 filing an appeal; (5) space for the custodian to list reasons if a request

is denied in whole or in part; (6) space for the requestor to sign and 16

17 date the form; (7) space for the custodian to sign and date the form if

the request is fulfilled or denied. 18

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f. A request for access to a government record shall be in writing and hand-delivered, mailed, transmitted electronically, or otherwise conveyed to the appropriate custodian. A custodian shall promptly comply with a request to inspect, examine, copy, or provide a copy of a government record. If the custodian is unable to comply with a request for access, the custodian shall indicate the specific basis therefor on the request form and promptly return it to the requestor. 26 The custodian shall sign and date the form. If the custodian of a government record asserts that part of a particular record is exempt from public access pursuant to P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and supplemented, the custodian shall delete or excise from a copy of the record that portion which the custodian asserts is exempt from access and shall promptly permit access to the remainder of the record. If the government record requested is temporarily unavailable because it is in use or in storage, the custodian shall so advise the requestor and shall make arrangements to promptly make available a copy of the record. If a request for access to a government record would substantially disrupt agency operations, the custodian may deny access to the record after attempting to reach a reasonable solution with the requestor that accommodates the interests of the requestor and the agency.

- g. Any officer or employee of a public agency who receives a request for access to a government record shall forward the request to the custodian of the record or direct the requestor to the custodian of the record.
- 44 h. Unless a shorter time period is otherwise provided by statute, 45 regulation, or executive order, a custodian of a government record shall grant or deny a request for access to a government record as 46

soon as possible, but not later than seven business days after receiving the request. In the event a custodian fails to respond within seven business days after receiving a request, the failure to respond shall be deemed a denial of the request, unless the requestor has elected not to provide a name, address or telephone number, or other means of contacting the requestor. If the requestor has elected not to provide a name, address, or telephone number, or other means of contacting the requestor, the custodian shall not be required to respond until the requestor reappears before the custodian seeking a response to the

i. The files maintained by the Office of the Public Defender that relate to the handling of any case shall be considered confidential and shall not be open to inspection by any person unless authorized by law, court order, or the State Public Defender.

original request.

7. (New Section) A person who is denied access to a government record by the custodian of the record, at the option of the requestor, (1) may institute a proceeding to challenge the custodian's decision by filing in the Superior Court an action in lieu of prerogative writ or an order to show cause, or both, or (2) if the record to which access is denied is in the custody of a State agency as defined in section 2 of P.L.1968, c. 410 (C.52:14B-2), may have the matter considered a contested case and handled in the manner provided in the "Administrative Procedure Act," P.L.1968, c. 410 (C.52:14B-1et seq.); or (3) if the custodian is an officer, official or employee of a municipality or municipal entity, may challenge the custodian's decision by filing a complaint in the municipal court of the municipality in which access was denied.

If a complaint is filed with a municipal court, the court clerk shall transmit the complaint by the end of the second business day following receipt to the assignment judge for the vicinage in which that municipal court is located for assignment of the complaint either to the municipal court in which it was filed or to another municipal court in the vicinage for disposition. The municipal courts in a vicinage in which a complaint is filed shall have jurisdiction of proceedings initiated by such a complaint to enforce the provisions of P.L.1963, c.73 (C.47:1A-1 et seq.), as amended and supplemented, notwithstanding N.J.S.2B:12-16 to the contrary. At the request of the requestor who filed a complaint, the municipal prosecutor shall represent the requestor in the proceedings before the municipal court, at no cost to the requestor.

The right to institute any proceeding under this section upon a denial of access shall be solely that of the requestor. Any such proceeding shall proceed in a summary or expedited manner. The public agency shall have the burden of proving that the denial of access is authorized by law. If it is determined that access has been

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1 improperly denied, the court or agency head shall order that access be 2 allowed. If a decision of a municipal court finding that access has 3 been improperly denied is appealed, the county prosecutor shall 4 represent the appellee, at the request of the appellee, in the proceedings on the appeal, at no cost to the appellee. 5 6 A requestor who prevails in any proceeding instituted under this 7 section shall be entitled to taxed costs, and may be awarded a 8 reasonable attorney's fee. A custodian who prevails in any proceeding instituted under this section shall be entitled to taxed costs. 9 10 8. (New Section) Nothing contained in P.L.1963, c.73 (C.47:1A-1 11 12 et seq.) as amended and supplemented shall be construed as limiting 13 common law access to government records. 14 15 9. (New Section) a. A public official, officer, employee or custodian who knowingly and willfully violates P.L.1963, c.73 16 17 (C.47:1A-1 et seq.), as amended and supplemented, shall be subject to a civil penalty of \$1,000 for an initial violation, \$2,500 for a second 18 violation that occurs within 10 years of an initial violation, and \$5,000 19 for a third violation that occurs within 10 years of an initial violation. 20 21 This penalty shall be collected and enforced in summary proceedings 22 in accordance with "the penalty enforcement law," N.J.S.2A:58-1 et 23 seq., and the rules of court governing actions for the collection of civil penalties. The Superior Court and the municipal courts shall have 24 jurisdiction of proceedings for the collection and enforcement of the 25 26 penalty imposed by this section. An action shall be brought in the name 27 of the State upon the complaint of the Attorney General, the municipal 28 prosecutor of the municipality in which the violation occurred or the 29 county prosecutor of the county in which the violation occurred. 30 The court also may recommend to an appropriate entity that appropriate disciplinary proceedings be initiated against a public 31 32 official, officer, employee or custodian against whom a penalty has 33 been imposed. 34 35 Section 2 of P.L.1963, c.73 (C.47:1A-2), section 8 of P.L.1994, c.140 (C.47:1A-2.1) and section 4 of P.L.1963, c.73 36 37 (C.47:1A-4) are repealed. 38 39 11. This act shall take effect on the first day of the third month 40 following enactment. 41 42 43 **STATEMENT** 44 45 The purpose of this bill is to provide public access to government

46 records. The bill amends current law (P.L.1963, c.73; C.47:1A-1 et

seq.) to affirmatively state the public's right to access all government

- 2 records (section 1) and the manner in which that access is to provided
- 3 by the custodian of a government record (section 6). Government
- 4 records would be exempt from public access only if exempt by:
- 5 N.J.S.A.47:1A-1 et seq. as amended and supplemented; any other
- 6 statute; resolution of either or both houses of the Legislature;
- 7 regulation promulgated under the authority of any statute or Executive
- 8 Order of the Governor; Executive Order of the Governor; Rules of
- 9 Court; any federal law, other than the federal Freedom of Information
- 10 Act (5_U.S.C. s.552); federal regulation; or federal order.

The bill provides a comprehensive definition for "government"

12 record" and "public agency" (section 2), amends current sections of

13 law to ensure that wording in these sections correspond to the new

14 terms used in the bill (sections 3, 4 and 5), and revises the procedures

by which a requestor who is denied access may challenge the denial in

16 Superior Court, through the administrative law process, or in

municipal court (with certain additional assistance provided to those

who file complaints in municipal court) (section 7). The bill specifies

19 that its provisions shall not be construed to limit the common law

20 access to government records (section 8). Finally, the bill establishes

21 civil monetary penalties that may be imposed against a public official,

officer, employee or custodian who knowingly and willfully violates

23 the law governing the right to public access (section 9).

24 The bill repeals N.J.S.A.47:1A-2 which deals with the right of

25 inspection of public records, how copies are to be provided and the

26 fees that may be charged; N.J.S.A.47:1A-2.1 which deals with the

27 right to receive printed copies of data or image processed documents;

and N.J.S.A.47:1A-4 which deals with proceedings to enforce the right

29 to inspect or copy records. The provisions of these three sections are

dealt with in the new sections in this bill.

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ASSEMBLY STATE GOVERNMENT COMMITTEE

STATEMENT TO

ASSEMBLY, No. 1309

with committee amendments

STATE OF NEW JERSEY

DATED: MARCH 6, 2000

The Assembly State Government Committee reports favorably and with committee amendments Assembly, No. 1309.

As amended, this bill expands the public's right to access to all public records under current law (N.J.S.A.47:1A-1 et seq.) to include all government records and facilitates the way in which that access is provided by the custodian of a government record. Government records would be exempt from public access only if exempted by: N.J.S.A.47:1A-1 et seq. as amended and supplemented; any other statute; resolution of either or both houses of the Legislature; regulation promulgated under the authority of any statute or Executive Order of the Governor; Executive Order of the Governor; Rules of Court; any federal law, other than the federal Freedom of Information Act (5 U.S.C. §552); federal regulation; or federal order.

The committee notes that the New Jersey Supreme Court, in <u>North Jersey Newspapers</u> v. <u>Passaic County</u>, 127 <u>N.J.</u> 9 (1992), citing Evidence Rule 34 and N.J.S.A.2A:84A-27, acknowledged that some communications by government officials may be protected, at the State level, by the official information privilege and exempt from disclosure.

The bill defines government record as any paper, written or printed book, document, drawing, map, plan, photograph, microfilm, data processed or image processed document, information stored or maintained electronically or by sound-recording or in a similar device, or any copy thereof, that has been made, maintained or kept on file by any officer, commission, agency or authority of the State or of any political subdivision thereof, including subordinate boards, or that has been received by any such officer, commission, agency, or authority of the State or of any political subdivision, including subordinate boards.

The bill amends current sections of law relating to access to public records to ensure that wording in these sections corresponds to the new terms used in the bill, and revises the procedures by which a requestor who is denied access may challenge the denial in Superior Court, through the administrative law process, or in municipal court (with certain additional assistance provided to those who file complaints in municipal court). The bill specifies that its provisions will not be construed to limit the common law access to government

records.

Finally, the bill establishes civil monetary penalties that may be imposed against a public official, officer, employee or custodian who knowingly and willfully violates the law governing the right to public access.

The bill repeals N.J.S.A.47:1A-2 which deals with the right of inspection of public records, how copies are to be provided and the fees that may be charged; N.J.S.A.47:1A-2.1 which deals with the right to receive printed copies of data or image processed documents; and N.J.S.A.47:1A-4 which deals with proceedings to enforce the right to inspect or copy records. The provisions of these three sections are dealt with in the new sections of the bill.

The committee amended the bill to: (1) clarify that the bill's new definitions apply to N.J.S.A.47:1A-1 et seq., as amended and supplemented; (2) revise the definition of custodian of a government record to mean in the case of a municipality, the municipal clerk, and in the case of any other public agency, the officer officially designated by formal action of that agency's director or governing body; (3) recognize that in certain cases the cost of labor or other overhead expenses may be included in the cost of duplicating a record; (4) provide that a custodian may require a deposit against costs for reproducing documents sought through an anonymous request when it is anticipated that the cost will be in excess of \$15; and (5) clarify that a custodian of a government record will either grant access to a government record or deny the request for such access not later than seven business days after receiving a request for access, as opposed to grant or deny the request within that time period.

STATEMENT TO

[First Reprint] ASSEMBLY, No. 1309

with Assembly Floor Amendments (Proposed By Assemblyman GEIST)

ADOPTED: MARCH 27, 2000

This floor amendment provides that a person denied access to a government record by the custodian of the record who is an officer, official or employee of a county or county entity, or of a board of education, may challenge the custodian's decision by filing a complaint in the municipal court of the municipality in which access was denied.

STATEMENT TO

[Second Reprint] ASSEMBLY, No. 1309

with Assembly Floor Amendments (Proposed By Assemblyman COLLINS)

ADOPTED: JUNE 26, 2000

These amendments provide that:

- (1) in order to be publicly accessible, a government record must have been made, maintained or kept on file in the course of official business:
- (2) when a government record is stored, archived or consists of more than 100 pages, the custodian may exceed the usual seven days provided by the bill to grant or deny access if the custodian advises when the record can be made available and the failure to produce the record by that date will constitute a denial of access;
- (3) the bill's provisions will not abrogate any existing exemption of a public record or government record from public access established by law, legislative resolution, regulation, Executive Order of the Governor, court rule, federal law or regulation, or federal order;
- (4) the bill's provisions will not abrogate or erode any existing executive or legislative privilege or grant of confidentiality established or recognized by the State Constitution, statute, court rule or judicial case law, which may be claimed to restrict public access to a public record or government record;
- (5) the personnel or pension records of any individual in the possession of a public agency will not be considered a government record and will not be made available for public access, except that the following information will be accessible:
- (a) an individual's name, title, position, salary, payroll record, length of service, date of separation and the reason therefor, and the amount and type of any pension received;
- (b) personnel or pension records of any individual when required to be disclosed by another law, when disclosure is essential to the performance of official duties of a person authorized by this State or the United States, or when authorized by an individual in interest; and
- (c) data contained in information which disclose conformity with specific experiential, educational or medical qualifications required for government employment or for receipt of a public pension, but not including any detailed medical or psychological information; and
- (6) an official must have unreasonably denied access under the totality of the circumstances in order to be subject to the bill's penalty provisions.

STATEMENT TO

[Third Reprint] ASSEMBLY, No. 1309

with Senate Floor Amendments (Proposed By Senator MARTIN)

ADOPTED: MAY 3, 2001

These Senate Amendments make this bill identical to Senate No, 2003 of 2000. As amended, the bill revises the existing "Right to Know" law, N.J.S.A.47:1A-1 et seq., to:

broaden the scope of accessible government records while protecting certain specified government records from disclosure;

impose new responsibilities on the custodians of government records;

create a Government Records Council in the Department of Community Affairs to mediate disputes and adjudicate complaints regarding denials of access to government records;

create a temporary privacy study commission; and

increase the penalties which may be imposed upon persons who unreasonably deny access to a government record.

The amended bill preserves existing legislative and executive privileges and grants of confidentiality, as well as exemptions to the right of access established pursuant to statute, legislative resolution, regulation; Executive Order, court rule, or federal law.

ASSEMBLY JUDICIARY COMMITTEE

STATEMENT TO

[Fourth Reprint] **ASSEMBLY, No. 1309**

STATE OF NEW JERSEY

DATED: DECEMBER 6, 2001

The Assembly Judiciary Committee reports favorably Assembly Bill No. 1309 (4R).

This bill expands the public's right to access to all public records under current law (N.J.S.A.47:1A-1 et seq.) to include all government records and facilitates the way in which that access is provided by the custodian of a government record. Government records would be exempt from public access only if exempted by: N.J.S.A.47:1A-1 et seq. as amended and supplemented; any other statute; resolution of either or both houses of the Legislature; regulation promulgated under the authority of any statute or Executive Order of the Governor; Executive Order of the Governor; Rules of Court; any federal law, federal regulation, or federal order.

The bill defines government record as any paper, written or printed book, document, drawing, map, plan, photograph, microfilm, data processed or image processed document, information stored or maintained electronically or by sound-recording or in a similar device, or any copy thereof, that has been made, maintained or kept on file in the course of his or its official buisiness by any officer, commission, agency or authority of the State or of any political subdivision thereof, including subordinate boards, or that has been received in the course of his or its official business by any such officer, commission, agency, or authority of the State or of any political subdivision, including subordinate boards.

STATEMENT TO

[Fourth Reprint] **ASSEMBLY, No. 1309**

with Assembly Floor Amendments (Proposed By Assemblymen GEIST and COLLINS)

ADOPTED: JANUARY 3, 2002

These amendments would except from the definition of government record, and therefor from public access under the bill:

information received by a member of the Legislature from a constituent or information held by a member of the Legislature concerning a constituent, including but not limited to information in written form or contained in any e-mail or computer data base, or in any telephone record whatsoever, unless it is information the constituent is required by law to transmit;

any memorandum, correspondence, notes, report or other communication prepared by, or for, the specific use of a member of the Legislature in the course of the member's official duties, but not including an otherwise publicly-accessible report which is required by law to be submitted to the Legislature or its members; and

any copy, reproduction or facsimile of any photograph, negative, or print, including instant photographs and videotapes, of the body of a deceased person, taken by or for the medical examiner at the scene of death or in the course of a post mortem examination or autopsy, with certain exceptions.

SENATE, No. 2003

STATE OF NEW JERSEY

209th LEGISLATURE

INTRODUCED DECEMBER 14, 2000

Sponsored by:

Senator ROBERT J. MARTIN
District 26 (Essex, Morris and Passaic)
Senator BYRON M. BAER
District 37 (Bergen)

SYNOPSIS

Provides for public access to government records; protects certain government records from public disclosure.

CURRENT VERSION OF TEXT

As introduced.



1 AN ACT concerning public access to government records, amending 2 and supplementing P.L.1963, c.73 (C.47:1A-1 et seq.), amending 3 P.L.1995, c.23 and P.L.1998, c.17, and repealing parts of the 4 statutory law. 5 6 BE IT ENACTED by the Senate and General Assembly of the State 7 of New Jersey: 8 9 1. Section 1 of P.L.1963, c.73 (C.47:1A-1) is amended to read as 10 11 1. The Legislature finds and declares it to be the public policy of this State that [public]: 12 13 government records shall be readily accessible for inspection. copying, or examination by the citizens of this State, with certain 14 exceptions for the protection of the public interest, and any limitations 15 16 on the right of access accorded by P.L.1963, c.73 (C.47:1A-1 et seq.), 17 as amended and supplemented, shall be construed in favor of the 18 public's right of access; 19 all government records shall be subject to public access unless 20 exempt from such access by: P.L.1963, c.73 (C.47:1A-1 et seq.) as 21 amended and supplemented; any other statute; resolution of either or 22 both houses of the Legislature; regulation promulgated under the authority of any statute or Executive Order of the Governor; 23 Executive Order of the Governor; Rules of Court; any federal law, 24 25 federal regulation, or federal order; and a public agency has a responsibility and an obligation to safeguard 26 27 from public access a citizen's personal information with which it has 28 been entrusted when disclosure thereof would violate the citizen's 29 reasonable expectation of privacy. 30 (cf: P.L.1963, c.73, s.1) 31 32 2. Section 1 of P.L.1995, c.23 (C.47:1A-1.1) is amended to read 33 as follows: 34 1. As used in [this act] P.L.1963, c.73 (C.47:1A-1 et seq.) as 35 amended and supplemented: "Biotechnology" means any technique that uses living organisms, 36 37 or parts of living organisms, to make or modify products, to improve 38 plants or animals, or to develop micro-organisms for specific uses;

EXPLANATION - Matter enclosed in bold-faced brackets [thus] in the above bill is not enacted and is intended to be omitted in the law.

case of a municipality, the municipal clerk and in the case of any other

public agency, the officer officially designated by formal action of that

including the industrial use of recombinant DNA, cell fusion, and novel

"Custodian of a government record" or "custodian" means in the

bioprocessing techniques.

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1 agency's director or governing body, as the case may be. 2 "Government record" or "record" means: 3 in the case of a public agency which is a victims' rights agency, any 4 record which is required by law to be made, maintained or kept on file; 5 and 6 in the case of any public agency which is not a victims' rights 7 agency, any paper, written or printed book, document, drawing, map, 8 plan, photograph, microfilm, data processed or image processed 9 document, information stored or maintained electronically or by 10 sound-recording or in a similar device, or any copy thereof, that has been made, maintained or kept on file in the course of his or its official 11 12 business by any officer, commission, agency or authority of the State 13 or of any political subdivision thereof, including subordinate boards 14 thereof, or that has been received by any such officer, commission, 15 agency, or authority of the State or of any political subdivision thereof, including subordinate boards thereof. The terms shall not 16 17 include inter-agency or intra-agency advisory, consultative, or 18 deliberative material. 19 A government record shall not include the following information 20 which is deemed to be privileged and confidential: 21 trade secrets and proprietary commercial or financial information 22 obtained from any source. For the purposes of this paragraph, trade 23 secrets shall include data processing software obtained by a public body under a licensing agreement which prohibits its disclosure; 24 25 any record within the attorney-client privilege. This paragraph shall 26 not be construed as exempting from access attorney or consultant bills 27 or invoices except that such bills or invoices may be redacted to 28 remove any information protected by the attorney-client privilege; 29 administrative or technical information regarding computer 30 hardware, software and networks which, if disclosed, would jeopardize 31 computer security; 32 emergency or security information or procedures for any buildings 33 or facility which, if disclosed, would jeopardize security of the building 34 or facility or persons therein; security measures and surveillance techniques which, if disclosed, 35 would create a risk to the safety of persons, property, electronic data 36 37 or software; 38 information which, if disclosed, would give an advantage to 39 competitors or bidders; 40 information generated by or on behalf of public employers or public 41 employees in connection with any sexual harassment complaint filed with a public employer or with any grievance filed by or against an 42 individual or in connection with collective negotiations, including 43 44 documents and statements of strategy or negotiating position; 45 information which is a communication between a public agency and its insurance carrier; 46

1 information which is to be kept confidential pursuant to court 2 order; and 3 that portion of any document which discloses the social security 4 number, credit card number, unlisted telephone number or driver 5 license number of any person. A government record shall not include, with regard to any public 6 institution of higher education, the following information which is 7 deemed to be privileged and confidential: 8 9 pedagogical, scholarly and/or academic research records and/or the 10 specific details of any research project conducted under the auspices of a public higher education institution in New Jersey, including, but 11 12 not limited to research, development information, testing procedures, 13 or information regarding test participants, related to the development 14 or testing of any pharmaceutical or pharmaceutical delivery system, 15 except that a custodian may not deny inspection of a government 16 record or part thereof that gives the name, title, expenditures, source 17 and amounts of funding and date when the final project summary of 18 any research will be available; 19 test questions, scoring keys and other examination data pertaining 20 to the administration of an examination for employment or academic 21 examination; 22 records of pursuit of charitable contributions or records containing 23 the identity of a donor of a gift if the donor requires non-disclosure of the donor's identity as a condition of making the gift provided that the 24 25 donor has not received any benefits of or from the institution of higher education in connection with such gift other than a request for 26 27 memorialization or dedication; 28 valuable or rare collections of books and/or documents obtained by 29 gift, grant, bequest or devise conditioned upon limited public access; 30 information contained on individual admission applications; and 31 information concerning student records or grievance or disciplinary 32 proceedings against a student to the extent disclosure would reveal the 33 identity of the student. 34 ["Local agency" means a county or municipality, and includes a 35 local health board or other local subdivision. 36 "State agency" means each of the principal departments in the 37 Executive Branch of the State Government, and all boards, divisions, 38 commissions, agencies, departments, councils, authorities, offices or 39 officers within any such departments now existing or hereafter 40 established.] 41 "Public agency" or "agency" means any of the principal departments 42 in the Executive Branch of State Government, and any division, board, bureau, office, commission or other instrumentality within or created 43 44 by such department; the Legislature of the State and any office, board, 45 bureau or commission within or created by the Legislative Branch; and 46 any independent State authority, commission, instrumentality or

- 1 agency. The terms also mean any political subdivision of the State or
- 2 combination of political subdivisions, and any division, board, bureau,
- 3 office, commission or other instrumentality within or created by a
- 4 political subdivision of the State or combination of political
- 5 subdivisions, and any independent authority, commission,
- 6 instrumentality or agency created by a political subdivision or
- 7 <u>combination of political subdivisions.</u>
- 8 "Victims' rights agency" means a public agency, or part thereof,
- 9 <u>determined by the Attorney General to have responsibilities relating to</u>
- 10 <u>victims of sexual assault or domestic violence, and the Victims of</u>
- 11 Crime Compensation Board, established pursuant to P.L.1971, c.317
- 12 (C.52:4B-1 et seq.).
- 13 (cf: P.L.1995, c.23, s.1)

- 3. Section 2 of P.L.1995, c.23 (C.47:1A-1.2) is amended to read as follows:
- 17 2. a. When federal law or regulation requires the submission of
- 18 biotechnology trade secrets and related confidential information,
- 19 [State and local agencies] <u>a public agency</u> shall not have access to this
- 20 information except as allowed by federal law.
- b. A [State or local agency] <u>public agency</u> shall not make any
- 22 [information] biotechnology trade secrets and related confidential
- 23 <u>information</u> it has access to under this act available to any other [State
- or local agency] <u>public agency</u>, or to the general public, except as
- 25 allowed pursuant to federal law.
- 26 (cf: P.L.1995, c.23, s.2)

- 28 4. Section 1 of P.L.1998, c.17 (C.47:1A-2.2) is amended to read 29 as follows:
- 1. a. Notwithstanding the provisions of P.L.1963, c.73 (C.47:1A-1
- 31 et seq.) or the provisions of any other law to the contrary, where it
- 32 shall appear that a person who is **[**serving a term of imprisonment or
- is on parole or probation as the result of a conviction convicted of
- 34 any indictable offense under the laws of this State, any other state or
- 35 the United States is seeking [public] government records containing
- 36 personal information pertaining to the person's victim or the victim's
- 37 family, including but not limited to a victim's home address, home
- 38 telephone number, work or school address, work telephone number,
- 39 social security account number, medical history or any other
- 40 identifying information, the right of [examination herein] access
- 41 provided for in P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and
- 42 <u>supplemented</u> shall be denied.
- b. [Public records] A government record containing personal
- 44 identifying information which is protected under the provisions of this
- 45 section may be released [to an inmate or his representative] only if the

information is necessary to assist in the [inmate's own] defense of the 1 requestor. A determination that the information is necessary to assist 2 in the [inmate's] requestor's defense shall be made by the court upon 3 4 motion by the [inmate] requestor or his representative. 5 c. Notwithstanding the provisions of P.L.1963, c.73 (C.47:1A-1 et 6 seq.) as amended and supplemented, or any other law to the contrary, 7 a custodian shall not comply with an anonymous request for a 8 government record which is protected under the provisions of this 9 section. 10 (cf: P.L.1998, c.17, s.1.) 11 12 5. Section 3 of P.L.1963, c.73 (C.47:1A-3) is amended to read as 13 follows: 14 3. <u>a.</u> Notwithstanding the provisions of [this act] <u>P.L.1963</u>, <u>c.73</u> 15 (C.47:1A-1 et seq.) as amended and supplemented, where it shall 16 appear that the record or records which are sought to be inspected, 17 <u>copied</u>, <u>or</u> examined shall pertain to an <u>active</u> investigation in progress 18 by any [such body,] <u>public</u> agency, [commission, board, authority or 19 official,] the right of [examination herein] access provided for in 20 P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and supplemented may 21 be denied if the inspection, copying or [publication] examination of 22 such record or records shall be inimical to the public interest; 23 provided, however, that this provision shall be subject to subsection 24 c. of this section and shall not be construed to [prohibit any such body, agency, commission, board, authority or official from opening 25 26 such record or records for public examination if not otherwise 27 prohibited by law allow any public agency to prohibit access to a 28 record that was open for public inspection, examination, or copying 29 before the investigation commenced. 30 b. Notwithstanding the provisions of P.L.1963, c.73 (C.47:1A-1 et seq.), as amended and supplemented, inactive investigatory files 31 32 maintained by a law enforcement agency shall be open to inspection, 33 copying or examination by any person unless exempt pursuant to 34 section 1 of P.L.1995, c.23 (C.47:1A-1.1) or unless the disclosure of 35 the information reveals the identity of a confidential source, discloses 36 confidential investigative techniques and procedures or endangers the 37 life or physical safety of law enforcement personnel or others; 38 provided, however, that this provision shall not be construed to allow 39 any public agency to prohibit access to a record that was open for 40 public inspection, examination or copying before the investigation

c. "Active investigation" for the purposes of this section is defined
 to include information compiled by a public agency in the course of
 conducting an ongoing criminal or civil investigation of a specific act
 or omission which is continuing with a reasonable good faith

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commenced.

- 1 anticipation of securing an arrest or prosecution in the foreseeable
- 2 future. The term shall not include the following which, under all
- 3 circumstances, shall be available to the public within 24 hours or as
- 4 soon as practicable, of a request for such information:
- 5 where a crime has been reported but no arrest yet made,
- 6 information as to the type of crime, time, location and type of weapon,
- 7 if any;
- 8 if an arrest has been made, information as to the name, address and
- 9 age of any victims unless there has not been sufficient opportunity for
- 10 notification of next of kin of any victims of injury and/or death to any
- such victim or where the release of the names of any victim would be 11
- contrary to existing law or Court Rule. In deciding on the release of 12
- 13 information as to the identity of a victim, the safety of the victim and
- 14 the victim's family, and the integrity of any ongoing investigation, shall
- 15 be considered;
- 16 if an arrest has been made, information as to the defendant's name,
- 17 age, residence, occupation, marital status and similar background
- 18 information and, the identity of the complaining party unless the
- release of such information is contrary to existing law or Court Rule; 19
- 20 information as to the text of any charges such as the complaint,
- 21 accusation and indictment unless sealed by the court or unless the
- 22 release of such information is contrary to existing law or court rule; 23
 - information as to the identity of the investigating and arresting
- personnel and agency and the length of the investigation; 24
- 25 information of the circumstances immediately surrounding the
- 26 arrest, including but not limited to the time and place of the arrest,
- 27 resistance, if any, pursuit, possession and nature and use of weapons
- 28 and ammunition by the suspect and by the police; and
- 29 information as to circumstances surrounding bail, whether it was
- 30 posted and the amount thereof.
- 31 (cf: P.L.1963, c.73, s.3)

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- 33 6. (New section) a. The custodian of a government record shall 34 permit the record to be inspected, examined, and copied by any person
- during regular business hours; or in the case of a municipality having 35
- 36 a population of 5,000 or fewer according to the most recent federal
- 37 decennial census, a board of education having a total district
- 38 enrollment of 500 or fewer, or a public authority having less than \$10
- 39 million in assets, during not less than six regular business hours over
- 40 not less than three business days per week or the entity's regularly-
- 41 scheduled business hours, whichever is less; unless a government
- 42 record is exempt from public access by: P.L.1963, c.73 (C.47:1A-1 et
- 43 seq.) as amended and supplemented; any other statute; resolution of
- 44 either or both houses of the Legislature; regulation promulgated under
- 45 the authority of any statute or Executive Order of the Governor;

Executive Order of the Governor; Rules of Court; any federal law;

1 federal regulation; or federal order. Prior to allowing access to any

2 government record, the custodian thereof shall redact from that record

3 any information which discloses the social security number, credit card

number, unlisted telephone number, or driver license number of any

5 person. Except where an agency can demonstrate an emergent need,

6 a regulation that limits access to government records shall not be

7 retroactive in effect or applied to deny a request for access to a

8 government record that is pending before the agency, the council or a

court at the time of the adoption of the regulation.

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- b. A copy or copies of a government record may be purchased by any person upon payment of the fee prescribed by law or regulation, or if a fee is not prescribed by law or regulation, upon payment of the actual cost of duplicating the record. Except as otherwise provided by law or regulation, the fee assessed for the duplication of a government record embodied in the form of printed matter shall not exceed the following: first page to tenth page, \$0.75 per page; eleventh page to twentieth page, \$0.50 per page; all pages over twenty, \$0.25 per page. The actual cost of duplicating the record shall be the cost of materials and supplies used to make a copy of the record, but shall not include the cost of labor or other overhead expenses associated with making the copy except as provided for in subsection c.of this section. If a public agency can demonstrate that its actual costs for duplication of a government record exceed the foregoing rates, the public agency shall be permitted to charge the actual cost of duplicating the record.
- 26 c. Whenever the nature, format, manner of collation, or volume of 27 a government record embodied in the form of printed matter to be 28 inspected, examined, or copied pursuant to this section is such that the 29 record cannot be reproduced by ordinary document copying equipment 30 in ordinary business size or involves an extraordinary expenditure of 31 time and effort to accommodate the request, the public agency may 32 charge, in addition to the actual cost of duplicating the record, a 33 special service charge that shall be reasonable and shall be based upon 34 the actual direct cost of providing the copy or copies; provided, however, that in the case of a municipality, rates for the duplication of 35 particular records when the actual cost of copying exceeds the 36 37 foregoing rates shall be established in advance by ordinance. The 38 requestor shall have the opportunity to review and object to the charge 39 prior to it being incurred.
 - d. A custodian shall permit access to a government record and provide a copy thereof in the medium requested if the public agency maintains the record in that medium. If the public agency does not maintain the record in the medium requested, the custodian shall either convert the record to the medium requested or provide a copy in some other meaningful medium. If a request is for a record: (1) in a medium not routinely used by the agency; (2) not routinely developed or

1 maintained by an agency; or (3) requiring a substantial amount of 2 manipulation or programming of information technology, the agency 3 may charge, in addition to the actual cost of duplication, a special 4 charge that shall be reasonable and shall be based on the cost for any extensive use of information technology, or for the labor cost of 5 6 personnel providing the service, that is actually incurred by the agency 7 or attributable to the agency for the programming, clerical, and 8 supervisory assistance required, or both.

e. Immediate access ordinarily shall be granted to budgets, bills, vouchers, contracts, including collective negotiations agreements and individual employment contracts, and public employee salary and overtime information.

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- 13 f. The custodian of a public agency shall adopt a form for the use 14 of any person who requests access to a government record held or 15 controlled by the public agency. The form shall provide space for the name, address, and phone number of the requestor and a brief 16 17 description of the government record sought. The form shall include space for the custodian to indicate which record will be made 18 19 available, when the record will be available, and the fees to be charged. 20 The form shall also include the following: (1) specific directions and 21 procedures for requesting a record; (2) a statement as to whether 22 prepayment of fees or a deposit is required; (3) the time period within 23 which the public agency is required by P.L.1963, c.73 (C.47:1A-1 et 24 seq.) as amended and supplemented, to make the record available; (4) 25 a statement of the requestor's right to challenge a decision by the 26 public agency to deny access and the procedure for filing an appeal; 27 (5) space for the custodian to list reasons if a request is denied in 28 whole or in part; (6) space for the requestor to sign and date the form; 29 (7) space for the custodian to sign and date the form if the request is 30 fulfilled or denied. The custodian may require a deposit against costs 31 for reproducing documents sought through an anonymous request 32 whenever the custodian anticipates that the information thus requested 33 will cost in excess of \$5 to reproduce.
- 34 g. A request for access to a government record shall be in writing 35 and hand-delivered, mailed, transmitted electronically, or otherwise conveyed to the appropriate custodian. A custodian shall promptly 36 comply with a request to inspect, examine, copy, or provide a copy of 37 38 a government record. If the custodian is unable to comply with a 39 request for access, the custodian shall indicate the specific basis 40 therefor on the request form and promptly return it to the requestor. 41 The custodian shall sign and date the form and provide the requestor 42 with a copy thereof. If the custodian of a government record asserts 43 that part of a particular record is exempt from public access pursuant 44 to P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and supplemented, 45 the custodian shall delete or excise from a copy of the record that 46 portion which the custodian asserts is exempt from access and shall

1 promptly permit access to the remainder of the record. 2 government record requested is temporarily unavailable because it is 3 in use or in storage, the custodian shall so advise the requestor and 4 shall make arrangements to promptly make available a copy of the 5 If a request for access to a government record would 6 substantially disrupt agency operations, the custodian may deny access 7 to the record after attempting to reach a reasonable solution with the 8 requestor that accommodates the interests of the requestor and the 9 agency.

h. Any officer or employee of a public agency who receives a request for access to a government record shall forward the request to the custodian of the record or direct the requestor to the custodian of the record.

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- i. Unless a shorter time period is otherwise provided by statute, regulation, or executive order, a custodian of a government record shall grant access to a government record or deny a request for access to a government record as soon as possible, but not later than seven business days after receiving the request, provided that the record is currently available and not in storage or archived and the record consists of a total of 100 or fewer pages. In the event a custodian fails to respond within seven business days after receiving a request, the failure to respond shall be deemed a denial of the request, unless the requestor has elected not to provide a name, address or telephone number, or other means of contacting the requestor. If the requestor has elected not to provide a name, address or telephone number, or other means of contacting the requestor, the custodian shall not be required to respond until the requestor reappears before the custodian seeking a response to the original request. If the government record is in storage or archived or exceeds 100 pages, the requestor shall be so advised within seven business days after the custodian receives the request. The requestor shall be advised by the custodian when the record can be made available. If the record is not made available by that time, access shall be deemed denied.
- j. A custodian shall prepare a list of the government records under the control or in the care or custody of the custodian to which any person has the right of access for inspection, examination, or copying or for the purchase of copies thereof. The custodian shall review the prepared list at least annually thereafter and shall revise it promptly whenever necessary to maintain its accuracy. Once prepared, the list shall be used thereafter by the custodian to determine if a person has the right of access to a requested record for inspection, examination, or copying or for purchase of copies thereof. A copy of the most current version of the list shall be provided to any person upon request. A copy of the most current version of the list shall be posted prominently in public view in the part or parts of the office or offices of the custodian that are open to or frequented by the public. The

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- 1 copy of the list provided to any person and posted in public view shall
- 2 contain a statement that sets forth in clear, concise and specific terms
- 3 the right to appeal a denial of, or failure to provide, access to any
- 4 person for inspection, examination, or copying or for purchase of
- 5 copies thereof and the procedure by which an appeal may be filed.
- 6 The failure of a custodian for any reason to include a government
- 7 record on the list shall not in anyway affect the right of access to that
- 8 record.
 - k. The files maintained by the Office of the Public Defender that relate to the handling of any case shall be considered confidential and shall not be open to inspection by any person unless authorized by law,

12 court order, or the State Public Defender.

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- 7. (New section) A person who is denied access to a government record by the custodian of the record, at the option of the requestor, may:
- institute a proceeding to challenge the custodian's decision by filing an action in the special civil part of Superior Court which shall be heard in the vicinage where it is filed by a Superior Court Judge who has been designated by the Assignment Judge to hear such cases because of that judge's knowledge and expertise in matters relating to access to government records; or
- in lieu of filing an action in Superior Court, file a complaint with the
 Government Records Council established pursuant to section 8 of
 P.L., c. (C.) (now pending before the Legislature as this bill);
 except that an action to obtain access to an active or inactive
 investigatory file pursuant to section 3 of P.L.1963, c.73 (C.47:1A-3)
- or to a government record of a victims' rights agency, as defined in section 1 of P.L.1995, c.23 (C.47:1A-1.1), shall be filed in Superior Court in the manner provided by this section.
 - The right to institute any proceeding under this section shall be solely that of the requestor. Any such proceeding shall proceed in a summary or expedited manner. The public agency shall have the burden of proving that the denial of access is authorized by law, except that in the case of a public agency which is a victims' rights agency, as defined in section 1 of P.L.1995, c.23 (C.47:1A-1.1), the applicable burdens and presumptions shall continue to be those which applied prior to the effective date of P.L. , c. (C.) (now pending before the Legislature as this bill). If it is determined that access has been improperly denied, the court or agency head shall order that access be allowed. A requestor who prevails in any proceeding shall

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8. (New section) a. There is established in the Department of Community Affairs a Government Records Council. The council shall consist of the Commissioner of Community Affairs or the

to a reasonable attorney's fee.

- 1 commissioner's designee, the Commissioner of Education or the 2 commissioner's designee, and three public members appointed by the 3 Governor, with the advice and consent of the Senate, not more than 4 two of whom shall be of the same political party. The three public members shall serve during the term of the Governor making the 5 6 appointment and until the appointment of a successor. A public 7 member shall not hold any other State or local elected or appointed 8 office or employment while serving as a member of the council. A
- 9 public member shall not receive a salary for service on the council but
- 10 shall be reimbursed for reasonable and necessary expenses associated
- with serving on the council and may receive such per diem payment as
- may be provided in the annual appropriations act. The appointment of
- 13 a member may be revoked by the Governor for cause. Vacancies
- among the public members shall be filled in the same manner in which
- 15 the original appointment was made. The members of the council shall
- 16 choose one of the public members to serve as the council's chair. The
- 17 council may employ an executive director and such professional and
- 18 clerical staff as it deems necessary and may call upon the Department
- of Community Affairs for such assistance as it deems necessary and may be available to it.
- b. The Government Records Council shall:

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- establish an informal mediation program to facilitate the resolution of disputes regarding access to government records;
- receive, hear, review and adjudicate a complaint filed by any person concerning a denial of access to a government record by a records custodian;
- issue advisory opinions, on its own initiative, as to whether a particular type of record is a government record which is accessible to the public;
- prepare guidelines and an informational pamphlet for use by records custodians in complying with the law governing access to public records;
 - prepare an informational pamphlet explaining the public's right of access to government records and the methods for resolving disputes regarding access, which records custodians shall make available to persons requesting access to a government record;
- prepare guidelines for use by records custodians outlining the types of records in the possession of public agencies which are government records;
- make training opportunities available for records custodians and other public officers and employees which explain the law governing access to public records; and
- operate an informational website and a toll-free helpline staffed by knowledgeable employees of the council during regular business hours which shall enable any person, including records custodians, to call for information regarding the law governing access to public records and

allow any person to request mediation or to file a complaint with the counsel when access has been denied;

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In implementing the provisions of subsections d. and e. of this section, the council shall: act, to the maximum extent possible, at the convenience of the parties; utilize teleconferencing, faxing of documents, e-mail and similar forms of modern communication; and when in-person meetings are necessary, send representatives to meet with the parties at a location convenient to the parties.

- c. At the request of the council, a public agency shall produce documents and ensure the attendance of witnesses with respect to the council's investigation of any complaint or the holding of any hearing.
- 12 d. Upon receipt of a written complaint signed by any person 13 alleging that a custodian of a government record has improperly 14 denied that person access to a government record, the council shall 15 offer the parties the opportunity to resolve the dispute through mediation. Mediation shall enable a person who has been denied 16 17 access to a government record and the custodian who denied or failed 18 to provide access thereto to attempt to mediate the dispute through a 19 process whereby a neutral mediator, who shall be an attorney selected 20 by the council, acts to encourage and facilitate the resolution of the 21 dispute. Mediation shall be an informal, nonadversarial process having 22 the objective of helping the parties reach a mutually acceptable, 23 The mediator shall assist the parties in voluntary agreement. 24 identifying issues, foster joint problem solving, and explore settlement 25 alternatives.
- 26 e. If any party declines mediation or if mediation fails to resolve 27 the matter to the satisfaction of all parties, the council shall initiate an 28 investigation concerning the facts and circumstances set forth in the 29 complaint. The council shall make a determination as to whether the 30 complaint is within its jurisdiction or frivolous or without any reasonable factual basis. If the council shall conclude that the 31 32 complaint is outside its jurisdiction, frivolous or without factual basis, 33 it shall reduce that conclusion to writing and transmit a copy thereof 34 to the complainant and to the records custodian against whom the complaint was filed. Otherwise, the council shall notify the records 35 custodian against whom the complaint was filed of the nature of the 36 37 complaint and the facts and circumstances set forth therein. The 38 custodian shall have the opportunity to present the board with any 39 statement or information concerning the complaint which the custodian 40 wishes. If the council is able to make a determination as to a record's 41 accessibility based upon the complaint and the custodian's response 42 thereto, it shall reduce that conclusion to writing and transmit a copy 43 thereof to the complainant and to the records custodian against whom 44 the complaint was filed. If the council is unable to make a 45 determination as to a record's accessibility based upon the complaint and the custodian's response thereto, the council shall conduct a 46

1 hearing on the matter in conformity with the rules and regulations 2 provided for hearings by a state agency in contested cases under the "Administrative Procedure Act," P.L.1968, c.410 (C.52:14B-1 et 3 4 seq.), in so far as they may be applicable and practicable. The council shall, by a majority vote of its members, render a decision as to 5 whether the record which is the subject of the complaint is a 6 government record which must be made a available for public access 7 8 pursuant to P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and 9 supplemented. If the council determines, by a majority vote of its 10 members, that a custodian has knowingly and willfully violated P.L.1963, c.73 (C.47:1A-1 et seq.), as amended and supplemented, 11 12 and is found to have unreasonably denied access under the totality of 13 the circumstances, the council may impose the penalties provided for) (now pending before the 14 in section 12 of P.L. , c. (C. 15 Legislature as this bill). A decision of the council may be appealed to the Appellate Division of Superior Court. A decision of the council 16 17 shall not have value as a precedent for any case initiated in Superior Court pursuant to section 7 of P.L. , c. (C.) (now pending before 18 19 the Legislature as this bill). All proceedings of the council pursuant

f. The council shall not charge any party a fee in regard to actions filed with the council. The council shall be subject to the provisions of the "Open Public Meetings Act," P.L.1975, c.231 (C.10:4-6), except that the council may go into closed session during that portion of any proceeding during which the contents of a contested record would be disclosed. A requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee.

to this subsection shall be conducted as expeditiously as possible.

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g. The council shall not have jurisdiction over the Judicial or Legislative Branches of State Government or any agency, officer, or employee of those branches.

9. (New section) Nothing contained in P.L.1963, c.73 (C.47:1A-1 et seq.), as amended and supplemented, shall be construed as limiting the common law right of access to a government record.

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10. (New Section) a. The provisions of this act, P.L., c.
37 (C.) (now pending before the Legislature as this bill), shall no

(C.) (now pending before the Legislature as this bill), shall not abrogate any exemption of a public record or government record from public access heretofore made pursuant to P.L.1963, c.73 (C.47:1A-1 et seq.); any other statute; resolution of either or both Houses of the Legislature; regulation promulgated under the authority of any statute

- 42 or Executive Order of the Governor; Executive Order of the
- Governor; Rules of Court; any federal law, federal regulation, or federal order.
- b. The provisions of this act, P.L., c. (C.) (now pending before the Legislature as this bill), shall not abrogate or erode any

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executive or legislative privilege or grant of confidentiality heretofore established or recognized by the Constitution of this State, statute, court rule or judicial case law, which privilege or grant of confidentiality may duly be claimed to restrict public access to a public record or government record.

11. (New section) Notwithstanding the provisions of P.L.1963, c.73 (C.47:1A-1 et seq.) or any other law to the contrary, the personnel or pension records of any individual in the possession of a public agency, including but not limited to records relating to any grievance filed by or against an individual, shall not be considered a government record and shall not be made available for public access, except that:

an individual's name, title, position, salary, payroll record, length of service, date of separation and the reason therefor, and the amount and type of any pension received shall be a government record;

personnel or pension records of any individual shall be accessible when required to be disclosed by another law, when disclosure is essential to the performance of official duties of a person duly authorized by this State or the United States, or when authorized by an individual in interest; and

data contained in information which disclose conformity with specific experiential, educational or medical qualifications required for government employment or for receipt of a public pension, but not including any detailed medical or psychological information, shall be a government record.

12. (New section) A public official, officer, employee or custodian who knowingly and willfully violates P.L.1963, c.73 (C.47:1A-1 et seq.), as amended and supplemented, and is found to have unreasonably denied access under the totality of the circumstances, shall be subject to a civil penalty of \$1,000 for an initial violation, \$2,500 for a second violation that occurs within 10 years of an initial violation, and \$5,000 for a third violation that occurs within 10 years of an initial violation. This penalty shall be collected and enforced in proceedings in accordance with the "Penalty Enforcement Law of 1999," P.L.1999, c.274 (C.2A:58-10 et seq.), and the rules of court governing actions for the collection of civil penalties. The Superior Court shall have jurisdiction of proceedings for the collection and

Appropriate disciplinary proceedings may be initiated against a public official, officer, employee or custodian against whom a penalty has been imposed.

enforcement of the penalty imposed by this section.

 13. (New section) The New Jersey Supreme Court may adopt such court rules as it deems necessary to effectuate the purposes of this act.

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1	14. (New section) The Commissioner of Community Affairs shall
2	include in the annual budget request of the Department of Community
3	Affairs a request for sufficient funds to effectuate the purposes of
4	section 8 of P.L. , c. (C.) (now pending before the Legislature as
5	this bill).
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7	15. Section 2 of P.L.1963, c.73 (C.47:1A-2), section 8 of
8	P.L.1994, c.140 (C.47:1A-2.1) and section 4 of P.L.1963, c.73
9	(C.47:1A-4) are repealed.
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11	16. This act shall take effect on the 180th day after enactment.
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14	STATEMENT
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16	The purpose of this bill is to provide public access to government
17	records. It revises the existing "Right to Know" law, N.J.S.A.47:1A-1
18	et seq., to: broaden the scope of accessible government records;
19	impose new responsibilities on the custodians of government records;
20	create a Government Records Council in the Department of
21	Community Affairs to mediate disputes and adjudicate complaints
22	regarding denials of access to government records; and increase the
23	penalties which may be imposed upon persons who unreasonably deny
24	access to a government record.
25	Section 1: The bill amends N.J.S.A.47:1A-1 to affirmatively state
26	that: the public has a right of access, with certain exceptions, to all
27	government records; any limitations on the right of access in the bill
28	will be construed in favor of the public's right of access; and a
2930	government record will be excepted from public access only if exempt by: N.J.S.A.47:1A-1 et seq., as amended and supplemented; any other
31	statute; resolution of either or both houses of the Legislature (in
32	regard to legislative records); regulation promulgated under the
33	authority of any statute or Executive Order of the Governor;
34	Executive Order of the Governor; Rules of Court; or federal law. The
35	legislative findings also recognize the responsibility of a public agency
36	to protect a citizen's personal information with which it has been
37	entrusted.
38	Section 2: The bill amends N.J.S.A.47:1A-1.1 to establish
39	definitions for "custodian of a government record," "public agency,"
40	"government record," and "victims' rights agency."
41	"Custodian of a government record" is defined, in the case of a
42	municipality, as the municipal clerk and, in the case of any other
43	agency, as the officer officially designated by formal action of that
44	agency's director or governing body.
45	"Public agency" is defined for purposes of the bill as: any principal
46	department in the Executive Branch of State Government, or any part
47	thereof; the Legislature and any agency within the Legislative Branch;

- 1 any independent State authority, commission, instrumentality or
- 2 agency; any political subdivision or combination of political
- 3 subdivisions, or part thereof; and any independent agency created by
- 4 one or more political subdivisions.
- 5 The definition of "government record" is divided into two parts.
- 6 A "government record" for the purposes of a public agency which is
- 7 a victims' rights agency is any record which is required by law to be
- 8 made, maintained or kept on file (the definition of a public record
- 9 under existing law). A "victims' rights agency," is defined as a public
- 10 agency, or part thereof, which the Attorney General determines to
- 11 have responsibilities relating to victims of sexual assault or domestic
- 12 violence, and the Victims of Crime Compensation Board.
- For all other public agencies, a "government record" is defined as
- 14 information in printed form, as well as virtually any other format
- 15 (photographic, microfilm, data processed, imaged processed,
- 16 electronically stored, or sound recorded) "that has been made,
- 17 maintained or kept on file in the course of his or its official business"
- 18 by any State or local officer or agency. The term does not include
- 19 "inter agency or intra-agency advisory, consultative, or deliberative
- 20 material."
- 21 Section 2 of the bill expressly excludes the following types of
- 22 records from the definition of "government record" and, therefore,
- 23 from public access:
- trade secrets (including data processing software used by a public
- 25 agency under a licensing agreement prohibiting its disclosure) and
- 26 proprietary commercial or financial information obtained from any
- 27 source;
- any record within the attorney-client privilege;
- computer security information;
- 30 building security information;
- 31 other security and surveillance techniques;
- information which would give an advantage to competitors or
- 33 bidders;
- 34 certain information concerning sexual harassment complaints and
- 35 grievances filed with public employers and certain collective
- 36 negotiations information;
- communications between a public agency and its insurance carrier;
- information required to be kept confidential pursuant to a court
- 39 order; and
- 40 that portion of any document not required for purposes of the
- 41 government record or which discloses certain personal information
- 42 about any person.
- In addition, the following information held by an institution of
- 44 higher education is excluded from the definition of "government
- 45 record" and, therefore, from public access:
- certain pedagogical, scholarly and/or academic research records;
- 47 employment or academic testing information;

- 1 certain donor and fund-raising information;
- 2 certain rare books;

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- 3 admission applications; and
- 4 information concerning student records or grievance or disciplinary
- 5 proceedings which would reveal a student's identity.
- 6 Sections 3: The bill amends N.J.S.A.47:1A-1.2 to ensure that 7 wording in sections 3 correspond to the new terms used in the bill.
- 8 Section 4: The bill amends N.J.S.A.47:1A-2.2 to further limit the
- 9 ability of convicted criminals to gain access to government records
- 10 which contain information about their victims.
- Section 5: In addition, section 5 amends N.J.S.A.47:1A-3 to 12 regulate access to active and inactive investigatory files of public 13 agencies. If a file concerns an active investigation, access may be 14 denied if examination of the record is inimical to the public interest. 15 Inactive investigatory files maintained by a law enforcement agency
- will be open to the public unless otherwise exempt or unless 16
- 17 disclosure would reveal the identity of a confidential source, disclose
- confidential investigative techniques and procedures or endanger the 18
- 19 life or physical safety of law enforcement personnel or others. In the
- 20 case of either type of record, a public agency could not use the fact
- 21 that an investigation has been initiated to prevent access to a record
- 22 that was open to the public before the investigation began. The term
- 23 "active investigation" includes information compiled by a public agency in the course of conducting an ongoing criminal or civil 24
- 25 investigation which is continuing with a reasonable expectation of
- 26 securing an arrest or prosecution in the foreseeable future. However,
- 27 certain information would have to be publicly available within 24
- 28 hours. This would include information about the circumstances of a
- 29 crime, any arrest, certain victim and defendant information, the
- 30 charges or indictment, and law enforcement personnel involved.
- 31 <u>Section 6</u>: The bill provides that the custodian of a government
- 32 record must permit the record to be inspected, examined and copied
- 33 by any person during regular business hours, unless the record is
- 34 exempt from access by statute, legislative resolution (in regard to
- legislative records), regulation, Executive Order, Court Rule, or 35
- 36 federal law. Provision is made for certain smaller public agencies to
- 37 permit access during not less than six hours over three days per week
- 38 or the agency's regular business hours, whichever is less. Prior to

allowing access to a government record, the custodian is directed to

- 40 redact from the record the Social Security number, credit card number,
- 41 unlisted telephone number, or driver license number of any person. An
- 42 agency regulation cannot be used to deny a request for access which
- 43 was already pending when the regulation was adopted. The per page
- 44 fee for the purchase of a copy of a government record is set forth in
- 45 the bill. Different fees for the purchase of a copy of a record may be
- established by another statute or by regulation. An agency may charge 46
- 47 the actual cost of duplicating a record when the actual cost exceeds

the rates set forth in the bill. Additional charges may apply when copying involves an extraordinary expenditure of time and effort.

The bill provides that ordinarily immediate access will be granted to the following records: budgets, bills, vouchers, contracts, and public employee salary and overtime information.

6 It requires each custodian to adopt a form for use by a requestor. Anonymous requests are permitted, although a deposit may be 7 8 required. A request for access to a record must be in writing and 9 delivered to the custodian of the record. Ordinarily, access to the record will be provided within seven business days if the record is 10 11 currently available and does not exceed 100 pages. If a custodian in 12 unable to comply with a request for access, the custodian must explain in writing the reason for the delay. A custodian must redact any 13 14 portions of a record which the custodian believes are exempt from 15

The bill requires a custodian to prepare, periodically update, and post in public view a list of "government records" under the custodian's control.

Section 7: The bill provides that a person who is denied access to a government record may file an action in the special civil part of Superior Court or file a complaint with the Government Records Council established by section 8 of the bill. In either case, the public agency will have the burden of proving that the denial of access is authorized by law, except that in the case of a public agency which is a victims' rights agency the applicable burdens and presumptions shall continue to be those which applied prior to the bill's effective date. An action to obtain access to an active or inactive investigatory file or to a government record of a victims' rights agency must be filed in Superior Court. If it is determined that access has been improperly denied, the court or council must order that access be allowed.

Section 8: The bill establishes a Government Records Council in the
Department of Community Affairs. The council will consist of the
Commissioner of Community Affairs, the Commissioner of Education
and three public members appointed by the Governor, with the advice
and consent of the Senate, not more than two of whom may be of the
same political party.

The council will:

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establish an informal mediation program to facilitate the resolution of disputes regarding access to government records;

receive, hear, review and adjudicate a complaint filed by any person concerning a denial of access to a government record by a records custodian;

issue advisory opinions, on its own initiative, as to whether particular type of record is a government record which is accessible to the public;

prepare guidelines and an informational pamphlet for use by records custodians in complying with the law governing access to public 1 records;

prepare an informational pamphlet explaining the public's right of
 access to government records which records custodians must make
 available to persons requesting access to a government record;

5 prepare guidelines for use by records custodians outlining the types 6 of records in the possession of public agencies which are government 7 records;

8 make training opportunities available for records custodians and 9 other public officers and employees which explain the law governing 10 access to public records; and

operate an informational website and a toll-free helpline staffed by knowledgeable employees of the council during regular business hours which will enable any person, including records custodians, to call for information regarding the law governing access to public records and allow any person to request mediation or to file a complaint with the counsel when access has been denied.

The council's mediation program will allow the parties in a dispute over access to a government record to attempt to mediate the dispute through an informal, nonadversarial process conducted by a mediator selected by the council. If mediation fails or if either party declines mediation, the council will initiate an investigation and make a determination regarding a record's accessibility. The council is authorized to conduct hearings and to impose penalties on a custodian in appropriate circumstances. The council's decisions would not have precedential value in an action for access to a record which is initiated in Superior Court. All proceedings of the council will be conducted as expeditiously as possible. The council will not have jurisdiction over the Judicial or Legislative Branches of State Government.

A requestor who prevails in any proceeding may be awarded a reasonable attorney's fee. The council will not charge any party a fee in connection with an action filed with the council. Meetings of the council will be open to the public except that the council may meet in closed session to protect the contents of a contested record.

<u>Section 9</u>: The bill provides that its provisions will not be construed as limiting the common law right of access.

Section 10: The bill provides that its provisions will "not abrogate any exemption of a public record or government record" previously made pursuant to N.J.S.A.47:1A-1 et seq.; any other statute; resolution of either or both Houses of the Legislature; regulation; Executive Order; Rules of court; or federal law. Furthermore, its provisions will "not abrogate or erode any executive or legislative privilege or grant of confidentiality" previously established by the State Constitution, statute, court rule or judicial case law, which privilege or grant of confidentiality may duly be claimed to restrict public access to a public record or government record.

Section 11: The bill exempts from public access the personnel and pension records held by a public agency, including records of a

- grievance filed by or against any individual, except that the following
- 2 information will be an accessible government record:
- an individual's name, title, position, salary, payroll record, length of
- 4 service, date of separation and the reason therefor, and the amount and two of any pension received:
- 5 type of any pension received;
- 6 personnel or pension records of any individual when required to be
- 7 disclosed by another law, when disclosure is essential to the
- 8 performance of official duties of a person duly authorized by this State
- 9 or the United States, or when authorized by an individual in interest;
- 10 and
- data contained in information which disclose conformity with
- 12 specific experiential, educational or medical qualifications required for
- government employment or for receipt of a public pension, but not
- 14 including any detailed medical or psychological information.
- 15 <u>Section 12</u>: The bill provides that a public official, officer,
- 16 employee, or custodian who "knowingly and willfully" violates the
- bill's provisions and is found to have "unreasonably denied access
- under the totality of the circumstances" will be subject to a civil fine
- 19 of \$1,000 for an initial violation, \$2,500 for a second violation
- 20 occurring within 10 years of an initial violation, and \$5,000 for a third
- 21 violation occurring within 10 years of an initial violation. Appropriate
- 22 disciplinary proceedings may also be initiated against a person upon
- whom a penalty is imposed.
- 24 <u>Section 13</u>: The bill provides that the New Jersey Supreme Court
- 25 may adopt such court rules as may be necessary to effectuate the bill's
- 26 purposes.
- 27 <u>Section 14</u>: The bill directs the Commissioner of Community
- 28 Affairs to include in the annual budget of the department a request for
- 29 sufficient funds for the operations of the Government Records
- 30 Council.
- 31 <u>Section 15</u>: The bill repeals N.J.S.A.47:1A-2 which addresses the
- 32 right of inspection of public records, how copies are to be provided
- and the fees that may be charged; N.J.S.A.47:1A-2.1 which deals with
- 34 the right to receive printed copies of data or image processed
- 35 documents; and N.J.S.A.47:1A-4 which concerns proceedings to
- 36 enforce the right to inspect or copy records. These matters are
- 37 addressed in the bill's new sections.
- 38 <u>Section 16</u>: The bill will take effect 180 days after enactment.

STATEMENT TO

SENATE, No. 2003

with Senate Floor Amendments (Proposed By Senator MARTIN)

ADOPTED: MAY 3, 2001

These amendments provide that it is the intent of the Legislature that nothing contained in the "right to know law," N.J.S.A.47:1A-1 et seq., as amended and supplemented, will be construed as affecting in any way the common law right of access to any record, including but not limited to criminal investigatory records of a law enforcement agency. Victims' records held by a victims' rights agency will not be accessible under the statute, except that a victim of a crime would have access to the victim's own records. The amendments exempt criminal investigatory records of a law enforcement agency from the statutory right of access. However, a common law right of access could be asserted to these and other records not accessible under the statute.

The amendments establish a 13-member Privacy Study Commission to examine privacy issues raised by the collection, processing, use and dissemination of information by public agencies and recommend to the Governor and the Legislature specific measures to address these issues and safeguard individuals' privacy rights. The amended bill would appropriate \$95,000 to the commission for its purposes.

The amendments also: clarify that records received by a public officer or agency are government records when received in the course of official business; impose certain duties on an agency which, during an investigation, receives records from another agency; create an exception from the bill's prohibtion on disclosure of certain personal information for information required by a government agency or its agents, necessary for the collection of child support payments and certain legitimate business purposes, or disclosable under the driver privacy protection statute; eliminate an exception which would have allowed a custodian more than seven business days to comply with a request for access when a record is in excess of 100 pages; allow certain otherwise disclosable criminal information to be withheld under certain circumstances; replace a requirement that records custodians compile lists of records in their possession with a provision requiring that the Government Records Council prepare lists for use by records custodians of the types of records in the possession of public agencies which are government records; and eliminate provisions requiring that a mediator selected by the Government Records Council must be an attorney and that a Superior Court Judge who hears a denial of access case must be selected by the Assignment Judge. As amended, the bill would allow the council to appoint either attorneys or non-attorneys as mediators and would leave the method of selecting a judge up to the State Supreme Court.

In addition, the bill's effective date section is amended to provide that: the Privacy Study Commission would become effective immediately and expire upon submission of its report to the Governor and the Legislature; and government agencies may take anticipatory administrative action in advance of the bill's effective date (180 days after enactment).

[First Reprint] SENATE, No. 2003

STATE OF NEW JERSEY 209th LEGISLATURE

INTRODUCED DECEMBER 14, 2000

Sponsored by: Senator ROBERT J. MARTIN District 26 (Essex, Morris and Passaic) Senator BYRON M. BAER

District 37 (Bergen)

Co-Sponsored by:

Senators Vitale and Turner

SYNOPSIS

Provides for public access to government records; protects certain government records from public disclosure; establishes Privacy Study Commission and appropriates \$95,000 to commission.

CURRENT VERSION OF TEXT

As amended by the Senate on May 3, 2001.



(Sponsorship Updated As Of: 5/15/2001)

- 1 AN ACT concerning public access to government records, amending 2 and supplementing P.L.1963, c.73 (C.47:1A-1 et seq.), amending P.L.1995, c.23 and P.L.1998, c.17, ¹ establishing a Privacy Study 3 4 Commission and making an appropriation for the expenses thereof,¹ 5 and repealing parts of the statutory law. 6 7 **BE IT ENACTED** by the Senate and General Assembly of the State 8 of New Jersey: 9 10 1. Section 1 of P.L.1963, c.73 (C.47:1A-1) is amended to read as 11 follows: 12 1. The Legislature finds and declares it to be the public policy of 13 this State that [public]: government records shall be readily accessible for inspection, 14 copying, or examination by the citizens of this State, with certain 15 16 exceptions for the protection of the public interest, and any limitations 17 on the right of access accorded by P.L.1963, c.73 (C.47:1A-1 et seq.), 18 as amended and supplemented, shall be construed in favor of the 19 public's right of access; 20 all government records shall be subject to public access unless exempt from such access by: P.L.1963, c.73 (C.47:1A-1 et seq.) as 21 22 amended and supplemented; any other statute; resolution of either or both houses of the Legislature; regulation promulgated under the 23 authority of any statute or Executive Order of the Governor; 24 Executive Order of the Governor; Rules of Court; any federal law, 25
- 26 <u>federal regulation</u>, or federal order; ¹[and]¹
 27 a public agency has a responsibility and ar
- 27 <u>a public agency has a responsibility and an obligation to safeguard</u>
 28 <u>from public access a citizen's personal information with which it has</u>
 29 <u>been entrusted when disclosure thereof would violate the citizen's</u>
 30 <u>reasonable expectation of privacy</u> 1; and
- nothing contained in P.L.1963, c.73 (C.47:1A-1 et seq.), as amended and supplemented, shall be construed as affecting in any way the common law right of access to any record, including but not limited to criminal investigatory records of a law enforcement
- $agency^1$.

36 (cf: P.L.1963, c.73, s.1)

38 2. Section 1 of P.L.1995, c.23 (C.47:1A-1.1) is amended to read as follows:

1. As used in [this act] P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and supplemented:

EXPLANATION - Matter enclosed in bold-faced brackets [thus] in the above bill is not enacted and is intended to be omitted in the law.

Matter underlined thus is new matter.

Matter enclosed in superscript numerals has been adopted as follows:

¹ Senate floor amendments adopted May 3, 2001.

1 "Biotechnology" means any technique that uses living organisms, 2 or parts of living organisms, to make or modify products, to improve 3 plants or animals, or to develop micro-organisms for specific uses; 4 including the industrial use of recombinant DNA, cell fusion, and novel 5 bioprocessing techniques. "Custodian of a government record" or "custodian" means in the 6 7 case of a municipality, the municipal clerk and in the case of any other 8 public agency, the officer officially designated by formal action of that 9 agency's director or governing body, as the case may be. 10 "Government record" or "record" means: 11 ¹[in the case of a public agency which is a victims' rights agency. 12 any record which is required by law to be made, maintained or kept on 13 file; and 14 in the case of any public agency which is not a victims' rights 15 agency]¹ any paper, written or printed book, document, drawing, map, 16 plan, photograph, microfilm, data processed or image processed 17 document, information stored or maintained electronically or by sound-recording or in a similar device, or any copy thereof, that has 18 19 been made, maintained or kept on file in the course of his or its official 20 business by any officer, commission, agency or authority of the State or of any political subdivision thereof, including subordinate boards 21 thereof, or that has been received ¹in the course of his or its official 22 23 business¹ by any such officer, commission, agency, or authority of the State or of any political subdivision thereof, including subordinate 24 25 boards thereof. The terms shall not include inter-agency or intraagency advisory, consultative, or deliberative material. 26 27 A government record shall not include the following information which is deemed to be ¹[privileged and] ¹ confidential ¹for the 28 29 purposes of P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and 30 supplemented¹: 31 ¹criminal investigatory records; victims' records, except that a victim of a crime shall have access to 32 33 the victim's own records;¹ 34 trade secrets and proprietary commercial or financial information 35 obtained from any source. For the purposes of this paragraph, trade 36 secrets shall include data processing software obtained by a public body under a licensing agreement which prohibits its disclosure; 37 38 any record within the attorney-client privilege. This paragraph shall 39 not be construed as exempting from access attorney or consultant bills 40 or invoices except that such bills or invoices may be redacted to 41 remove any information protected by the attorney-client privilege; 42 administrative or technical information regarding computer 43 hardware, software and networks which, if disclosed, would jeopardize 44 computer security; 45 emergency or security information or procedures for any buildings 46 or facility which, if disclosed, would jeopardize security of the building

1 or facility or persons therein; 2 security measures and surveillance techniques which, if disclosed, 3 would create a risk to the safety of persons, property, electronic data 4 or software; 5 information which, if disclosed, would give an advantage to 6 competitors or bidders; 7 information generated by or on behalf of public employers or public 8 employees in connection with any sexual harassment complaint filed 9 with a public employer or with any grievance filed by or against an 10 individual or in connection with collective negotiations, including 11 documents and statements of strategy or negotiating position; 12 information which is a communication between a public agency and its insurance carrier ¹, administrative service organization or risk 13 14 management office¹; 15 information which is to be kept confidential pursuant to court 16 order; and 17 that portion of any document which discloses the social security 18 number, credit card number, unlisted telephone number or driver license number of any person 1; except for use by any government 19 agency, including any court or law enforcement agency, in carrying out 20 21 its functions, or any private person or entity acting on behalf thereof, 22 or any private person or entity seeking to enforce payment of court-23 ordered child support; except with respect to the disclosure of driver 24 information by the Division of Motor Vehicles as permitted by section 25 2 of P.L.1997, c.188 (C.39:2-3.4); and except that a social security 26 number contained in a record required by law to be made, maintained 27 or kept on file by a public agency shall be disclosed when access to the 28 document or disclosure of that information is not otherwise prohibited 29 by State or federal law, regulation or order or by State statute, 30 resolution of either or both houses of the Legislature, Executive Order 31 of the Governor, rule of court or regulation promulgated under the 32 authority of any statute or executive order of the Governor¹. 33 A government record shall not include, with regard to any public 34 institution of higher education, the following information which is deemed to be privileged and confidential: 35 pedagogical, scholarly and/or academic research records and/or the 36 37 specific details of any research project conducted under the auspices 38 of a public higher education institution in New Jersey, including, but 39 not limited to research, development information, testing procedures, 40 or information regarding test participants, related to the development 41 or testing of any pharmaceutical or pharmaceutical delivery system,

46 <u>test questions, scoring keys and other examination data pertaining</u>

any research will be available;

except that a custodian may not deny inspection of a government

record or part thereof that gives the name, title, expenditures, source

and amounts of funding and date when the final project summary of

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- 1 to the administration of an examination for employment or academic 2 examination; 3 records of pursuit of charitable contributions or records containing 4 the identity of a donor of a gift if the donor requires non-disclosure of the donor's identity as a condition of making the gift provided that the 5 6 donor has not received any benefits of or from the institution of higher education in connection with such gift other than a request for 7 8 memorialization or dedication; 9 valuable or rare collections of books and/or documents obtained by 10 gift, grant, bequest or devise conditioned upon limited public access; 11 information contained on individual admission applications; and 12 information concerning student records or grievance or disciplinary 13 proceedings against a student to the extent disclosure would reveal the 14 identity of the student. 15 ["Local agency" means a county or municipality, and includes a 16 local health board or other local subdivision. 17 "State agency" means each of the principal departments in the Executive Branch of the State Government, and all boards, divisions, 18 19 commissions, agencies, departments, councils, authorities, offices or 20 officers within any such departments now existing or hereafter 21 established.] 22 "Public agency" or "agency" means any of the principal departments 23 in the Executive Branch of State Government, and any division, board, bureau, office, commission or other instrumentality within or created 24 25 by such department; the Legislature of the State and any office, board, 26 bureau or commission within or created by the Legislative Branch; and 27 any independent State authority, commission, instrumentality or 28 agency. The terms also mean any political subdivision of the State or 29 combination of political subdivisions, and any division, board, bureau, 30 office, commission or other instrumentality within or created by a 31 political subdivision of the State or combination of political 32 subdivisions, and any independent authority, commission, 33 instrumentality or agency created by a political subdivision or 34 combination of political subdivisions. 35 ¹"Law enforcement agency" means a public agency, or part thereof, determined by the Attorney General to have law enforcement 36 37 responsibilities. 38 "Criminal investigatory record" means a record which is not 39 required by law to be made, maintained or kept on file that is held by 40 a law enforcement agency which pertains to any criminal investigation 41 or related civil enforcement proceeding. "Victims' record" means an individually-identifiable file or 42 43 document held by a victims' rights agency which pertains directly to 44 a victim of a crime except that a victim of a crime shall have access to 45 the victim's own records.
- 46 "Victim of a crime" means a person who has suffered personal

- 1 physical or psychological injury or death or incurs loss of or injury to
- 2 personal or real property as a result of a crime, or if such a person is
- 3 deceased or incapacitated, a member of that person's immediate
- 4 <u>family.</u>¹
- 5 "Victims' rights agency" means a public agency, or part thereof,
- 6 ¹[determined by the Attorney General to have responsibilities relating]
- 7 the primary responsibility of which is providing services, including but
- 8 not limited to food, shelter, or clothing, medical, psychiatric,
- 9 psychological or legal services or referrals, information and referral
- 10 <u>services, counseling and support services, or financial services, ¹ to</u>
- 11 <u>victims of crimes, including victims of sexual assault [or]</u>, domestic
- 12 <u>violence</u> ¹, <u>violent crime</u>, <u>child endangerment</u>, <u>child abuse or child</u>
- 13 <u>neglect, ¹ and the Victims of Crime Compensation Board, established</u>
- 14 pursuant to P.L.1971, c.317 (C.52:4B-1 et seq.).
- 15 (cf: P.L.1995, c.23, s.1)

- 3. Section 2 of P.L.1995, c.23 (C.47:1A-1.2) is amended to read as follows:
- 19 2. a. When federal law or regulation requires the submission of
- 20 biotechnology trade secrets and related confidential information,
- 21 [State and local agencies] <u>a public agency</u> shall not have access to this
- 22 information except as allowed by federal law.
- b. A [State or local agency] <u>public agency</u> shall not make any
- 24 [information] biotechnology trade secrets and related confidential
- 25 <u>information</u> it has access to under this act available to any other [State
- or local agency] public agency, or to the general public, except as
- 27 allowed pursuant to federal law.
- 28 (cf: P.L.1995, c.23, s.2)

- 30 4. Section 1 of P.L.1998, c.17 (C.47:1A-2.2) is amended to read 31 as follows:
- 1. a. Notwithstanding the provisions of P.L.1963, c.73 (C.47:1A-1
- 33 et seq.) or the provisions of any other law to the contrary, where it
- 34 shall appear that a person who is **[**serving a term of imprisonment or
- is on parole or probation as the result of a conviction convicted of
- any indictable offense under the laws of this State, any other state or
- 37 the United States is seeking [public] government records containing
- 38 personal information pertaining to the person's victim or the victim's
- family, including but not limited to a victim's home address, home telephone number, work or school address, work telephone number,
- 41 social security account number, medical history or any other
- 42 identifying information, the right of [examination herein] access
- provided for in P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and
- +5 provided for <u>in 1.1.1703, c.73 (c.47.11A-1 et seq.) as amended an</u>
- 44 <u>supplemented</u> shall be denied.
- b. [Public records] A government record containing personal

1 identifying information which is protected under the provisions of this 2 section may be released [to an inmate or his representative] only if the 3 information is necessary to assist in the [inmate's own] defense of the 4 requestor. A determination that the information is necessary to assist 5 in the [inmate's] requestor's defense shall be made by the court upon motion by the [inmate] requestor or his representative. 6 7 c. Notwithstanding the provisions of P.L.1963, c.73 (C.47:1A-1 et 8 seq.) as amended and supplemented, or any other law to the contrary, 9 a custodian shall not comply with an anonymous request for a 10 government record which is protected under the provisions of this 11 section. (cf: P.L.1998, c.17, s.1.) 12 13 14 5. Section 3 of P.L.1963, c.73 (C.47:1A-3) is amended to read as 15 follows: 16 3. <u>a.</u> Notwithstanding the provisions of [this act] <u>P.L.1963</u>, <u>c.73</u> 17 (C.47:1A-1 et seq.) as amended and supplemented, where it shall 18 appear that the record or records which are sought to be inspected, copied, or examined shall pertain to an ¹[active] ¹ investigation in 19 progress by any [such body,] public agency, [commission, board, 20 authority or official,] the right of [examination herein] access 21 22 provided for in P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and 23 supplemented may be denied if the inspection, copying or [publication] <u>examination</u> of such record or records shall be inimical 24 to the public interest; provided, however, that this provision shall ¹[be 25 subject to subsection b. of this section and shall]¹ not be construed to 26 [prohibit any such body, agency, commission, board, authority or 27 28 official from opening such record or records for public examination 29 if not otherwise prohibited by law allow any public agency to prohibit access to a record ¹of that agency ¹ that was open for public 30 inspection, examination, or copying before the investigation 31 32 commenced. ¹Whenever a public agency, during the course of an investigation, obtains from another public agency a government record 33 that was open for public inspection, examination or copying before the 34 35 investigation commenced, the investigating agency shall provide the 36 other agency with sufficient access to the record to allow the other 37 agency to comply with requests made pursuant to P.L.1963, c.73 38 (C.47:1A-1 et seq.).¹ 39 b. Notwithstanding the provisions of P.L.1963, c.73 (C.47:1A-1 et 40 seq.), as amended and supplemented, ¹[inactive investigatory files 41 maintained by a law enforcement agency shall be open to inspection, 42 copying or examination by any person unless exempt pursuant to

section 1 of P.L.1995, c.23 (C.47:1A-1.1) or unless the disclosure of

the information reveals the identity of a confidential source, discloses

confidential investigative techniques and procedures or endangers the

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- 1 <u>life or physical safety of law enforcement personnel or others;</u>
- 2 provided, however, that this provision shall not be construed to allow
- 3 any public agency to prohibit access to a record that was open for
- 4 public inspection, examination or copying before the investigation
- 5 <u>commenced</u>.
- 6 c. "Active investigation" for the purposes of this section is defined
- 7 to include information compiled by a public agency in the course of
- 8 conducting an ongoing criminal or civil investigation of a specific act
- 9 or omission which is continuing with a reasonable good faith
- 10 <u>anticipation of securing an arrest or prosecution in the foreseeable</u>
- 11 <u>future.</u>]¹ the ¹[term shall not include the]¹ following ¹[which, under
- 12 <u>all circumstances,</u> <u>information concerning a criminal investigation</u> ¹
- 13 shall be available to the public within 24 hours or as soon as
- 14 practicable, of a request for such information:
- 15 where a crime has been reported but no arrest yet made,
- 16 <u>information as to the type of crime, time, location and type of weapon,</u>
- 17 <u>if any;</u>
- if an arrest has been made, information as to the name, address and
- 19 age of any victims unless there has not been sufficient opportunity for
- 20 notification of next of kin of any victims of injury and/or death to any
- 21 <u>such victim or where the release of the names of any victim would be</u>
- 22 contrary to existing law or Court Rule. In deciding on the release of
- 23 <u>information as to the identity of a victim, the safety of the victim and</u>
- 24 <u>the victim's family, and the integrity of any ongoing investigation, shall</u>
- 25 <u>be considered;</u>
- 26 <u>if an arrest has been made, information as to the defendant's name,</u>
- 27 age, residence, occupation, marital status and similar background
- 28 information and, the identity of the complaining party unless the
- 29 release of such information is contrary to existing law or Court Rule;
- information as to the text of any charges such as the complaint,
- accusation and indictment unless sealed by the court or unless the
 release of such information is contrary to existing law or court rule;
- 33 <u>information as to the identity of the investigating and arresting</u>
- 34 personnel and agency and the length of the investigation;
- information of the circumstances immediately surrounding the
- 36 arrest, including but not limited to the time and place of the arrest,
- 37 resistance, if any, pursuit, possession and nature and use of weapons
- and ammunition by the suspect and by the police; and
- information as to circumstances surrounding bail, whether it was
 posted and the amount thereof.
- 41 Notwithstanding any other provision of this subsection, where it
- 42 <u>shall appear that the information requested or to be examined will</u>
- jeopardize the safety of any person or jeopardize any investigation in progress or may be otherwise inappropriate to release, such
- 45 information may be withheld. This exception shall be narrowly
- 46 construed to prevent disclosure of information that would be harmful

1 to a bona fide law enforcement purpose or the public safety.

- 2 Whenever a law enforcement official determines that it is necessary to
- 3 withhold information, the official shall issue a brief statement
- 4 <u>explaining the decision.</u>¹
- 5 (cf: P.L.1963, c.73, s.3)

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6. (New section) a. The custodian of a government record shall 7 8 permit the record to be inspected, examined, and copied by any person 9 during regular business hours; or in the case of a municipality having 10 a population of 5,000 or fewer according to the most recent federal 11 decennial census, a board of education having a total district 12 enrollment of 500 or fewer, or a public authority having less than \$10 13 million in assets, during not less than six regular business hours over 14 not less than three business days per week or the entity's regularly-15 scheduled business hours, whichever is less; unless a government 16 record is exempt from public access by: P.L.1963, c.73 (C.47:1A-1 et 17 seq.) as amended and supplemented; any other statute; resolution of 18 either or both houses of the Legislature; regulation promulgated under 19 the authority of any statute or Executive Order of the Governor; 20 Executive Order of the Governor; Rules of Court; any federal law; 21 federal regulation; or federal order. Prior to allowing access to any 22 government record, the custodian thereof shall redact from that record 23 any information which discloses the social security number, credit card 24 number, unlisted telephone number, or driver license number of any 25 person ¹; except for use by any government agency, including any 26 court or law enforcement agency, in carrying out its functions, or any 27 private person or entity acting on behalf thereof, or any private person 28 or entity seeking to enforce payment of court-ordered child support; 29 except with respect to the disclosure of driver information by the 30 Division of Motor Vehicles as permitted by section 2 of P.L.1997, 31 c.188 (C.39:2-3.4); and except that a social security number contained 32 in a record required by law to be made, maintained or kept on file by 33 a public agency shall be disclosed when access to the document or 34 disclosure of that information is not otherwise prohibited by State or 35 federal law, regulation or order or by State statute, resolution of either 36 or both houses of the Legislature, Executive Order of the Governor, 37 rule of court or regulation promulgated under the authority of any 38 statute or executive order of the Governor¹. Except where an agency 39 can demonstrate an emergent need, a regulation that limits access to 40 government records shall not be retroactive in effect or applied to 41 deny a request for access to a government record that is pending 42 before the agency, the council or a court at the time of the adoption of 43 the regulation.

b. A copy or copies of a government record may be purchased by

any person upon payment of the fee prescribed by law or regulation, or if a fee is not prescribed by law or regulation, upon payment of the 1 actual cost of duplicating the record. Except as otherwise provided

- 2 by law or regulation, the fee assessed for the duplication of a
- 3 government record embodied in the form of printed matter shall not
- 4 exceed the following: first page to tenth page, \$0.75 per page;
- 5 eleventh page to twentieth page, \$0.50 per page; all pages over
- 6 twenty, \$0.25 per page. The actual cost of duplicating the record shall
- 7 be the cost of materials and supplies used to make a copy of the
- 8 record, but shall not include the cost of labor or other overhead
- 9 expenses associated with making the copy except as provided for in
- subsection c.of this section. If a public agency can demonstrate that
- 11 its actual costs for duplication of a government record exceed the
- 12 foregoing rates, the public agency shall be permitted to charge the
- 13 actual cost of duplicating the record.

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- c. Whenever the nature, format, manner of collation, or volume of a government record embodied in the form of printed matter to be inspected, examined, or copied pursuant to this section is such that the record cannot be reproduced by ordinary document copying equipment in ordinary business size or involves an extraordinary expenditure of time and effort to accommodate the request, the public agency may charge, in addition to the actual cost of duplicating the record, a special service charge that shall be reasonable and shall be based upon the actual direct cost of providing the copy or copies; provided, however, that in the case of a municipality, rates for the duplication of particular records when the actual cost of copying exceeds the
- particular records when the actual cost of copying exceeds the foregoing rates shall be established in advance by ordinance. The requestor shall have the opportunity to review and object to the charge prior to it being incurred.
 - d. A custodian shall permit access to a government record and provide a copy thereof in the medium requested if the public agency maintains the record in that medium. If the public agency does not maintain the record in the medium requested, the custodian shall either convert the record to the medium requested or provide a copy in some other meaningful medium. If a request is for a record: (1) in a medium not routinely used by the agency; (2) not routinely developed or maintained by an agency; or (3) requiring a substantial amount of manipulation or programming of information technology, the agency may charge, in addition to the actual cost of duplication, a special charge that shall be reasonable and shall be based on the cost for any extensive use of information technology, or for the labor cost of personnel providing the service, that is actually incurred by the agency or attributable to the agency for the programming, clerical, and supervisory assistance required, or both.
 - e. Immediate access ordinarily shall be granted to budgets, bills, vouchers, contracts, including collective negotiations agreements and individual employment contracts, and public employee salary and overtime information.

1 f. The custodian of a public agency shall adopt a form for the use 2 of any person who requests access to a government record held or 3 controlled by the public agency. The form shall provide space for the 4 name, address, and phone number of the requestor and a brief 5 description of the government record sought. The form shall include 6 space for the custodian to indicate which record will be made 7 available, when the record will be available, and the fees to be charged. 8 The form shall also include the following: (1) specific directions and 9 procedures for requesting a record; (2) a statement as to whether 10 prepayment of fees or a deposit is required; (3) the time period within 11 which the public agency is required by P.L.1963, c.73 (C.47:1A-1 et 12 seq.) as amended and supplemented, to make the record available; (4) 13 a statement of the requestor's right to challenge a decision by the 14 public agency to deny access and the procedure for filing an appeal; 15 (5) space for the custodian to list reasons if a request is denied in whole or in part; (6) space for the requestor to sign and date the form; 16 17 (7) space for the custodian to sign and date the form if the request is 18 fulfilled or denied. The custodian may require a deposit against costs 19 for reproducing documents sought through an anonymous request 20 whenever the custodian anticipates that the information thus requested 21 will cost in excess of \$5 to reproduce.

22 g. A request for access to a government record shall be in writing 23 and hand-delivered, mailed, transmitted electronically, or otherwise conveyed to the appropriate custodian. A custodian shall promptly 24 25 comply with a request to inspect, examine, copy, or provide a copy of 26 a government record. If the custodian is unable to comply with a 27 request for access, the custodian shall indicate the specific basis 28 therefor on the request form and promptly return it to the requestor. 29 The custodian shall sign and date the form and provide the requestor 30 with a copy thereof. If the custodian of a government record asserts 31 that part of a particular record is exempt from public access pursuant 32 to P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and supplemented, 33 the custodian shall delete or excise from a copy of the record that 34 portion which the custodian asserts is exempt from access and shall promptly permit access to the remainder of the record. 35 36 government record requested is temporarily unavailable because it is 37 in use or in storage, the custodian shall so advise the requestor and 38 shall make arrangements to promptly make available a copy of the 39 If a request for access to a government record would 40 substantially disrupt agency operations, the custodian may deny access 41 to the record after attempting to reach a reasonable solution with the 42 requestor that accommodates the interests of the requestor and the 43 agency.

h. Any officer or employee of a public agency who receives a request for access to a government record shall forward the request to the custodian of the record or direct the requestor to the custodian of

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the record.

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2 i. Unless a shorter time period is otherwise provided by statute, 3 regulation, or executive order, a custodian of a government record 4 shall grant access to a government record or deny a request for access 5 to a government record as soon as possible, but not later than seven 6 business days after receiving the request, provided that the record is 7 currently available and not in storage or archived ¹[and the record consists of a total of 100 or fewer pages]¹. In the event a custodian 8 9 fails to respond within seven business days after receiving a request, 10 the failure to respond shall be deemed a denial of the request, unless the requestor has elected not to provide a name, address or telephone 11 12 number, or other means of contacting the requestor. If the requestor 13 has elected not to provide a name, address or telephone number, or 14 other means of contacting the requestor, the custodian shall not be 15 required to respond until the requestor reappears before the custodian 16 seeking a response to the original request. If the government record is in storage or archived ¹[or exceeds 100 pages]¹, the requestor shall 17 be so advised within seven business days after the custodian receives 18 19 the request. The requestor shall be advised by the custodian when the 20 record can be made available. If the record is not made available by 21 that time, access shall be deemed denied.

j. A custodian shall ¹[prepare a list of the government records under the control or in the care or custody of the custodian to which any person has the right of access for inspection, examination, or copying or for the purchase of copies thereof. The custodian shall review the prepared list at least annually thereafter and shall revise it promptly whenever necessary to maintain its accuracy. prepared, the list shall be used thereafter by the custodian to determine if a person has the right of access to a requested record for inspection, examination, or copying or for purchase of copies thereof. A copy of the most current version of the list shall be provided to any person upon request. A copy of the most current version of the list shall be posted] post¹ prominently in public view in the part or parts of the office or offices of the custodian that are open to or frequented by the public ¹[. The copy of the list provided to any person and posted in public view shall contain 1 a statement that sets forth in clear, concise and specific terms the right to appeal a denial of, or failure to provide, access to ¹a government record by ¹ any person for inspection, examination, or copying or for purchase of copies thereof and the procedure by which an appeal may be filed. ¹[The failure of a custodian for any reason to include a government record on the list shall not in anyway affect the right of access to that record.]¹

k. The files maintained by the Office of the Public Defender that relate to the handling of any case shall be considered confidential and shall not be open to inspection by any person unless authorized by law, court order, or the State Public Defender.

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7. (New section) A person who is denied access to a government record by the custodian of the record, at the option of the requestor, may:

institute a proceeding to challenge the custodian's decision by filing an action in ¹[the special civil part of] ¹ Superior Court which shall be heard in the vicinage where it is filed by a Superior Court Judge who has been designated ¹[by the Assignment Judge] ¹ to hear such cases because of that judge's knowledge and expertise in matters relating to access to government records; or

10 in lieu of filing an action in Superior Court, file a complaint with the 11 Government Records Council established pursuant to section 8 of 12 P.L., c. (C.) (now pending before the Legislature as this bill)¹[; 13 except that an action to obtain access to an active or inactive 14 investigatory file pursuant to section 3 of P.L.1963, c.73 (C.47:1A-3) 15 or to a government record of a victims' rights agency, as defined in section 1 of P.L.1995, c.23 (C.47:1A-1.1), shall be filed in Superior 16 17 Court in the manner provided by this section]¹.

The right to institute any proceeding under this section shall be solely that of the requestor. Any such proceeding shall proceed in a summary or expedited manner. The public agency shall have the burden of proving that the denial of access is authorized by law ¹[except that in the case of a public agency which is a victims' rights agency, as defined in section 1 of P.L.1995, c.23 (C.47:1A-1.1), the applicable burdens and presumptions shall continue to be those which applied prior to the effective date of P.L. , c. (C.) (now pending before the Legislature as this bill) ¹. If it is determined that access has been improperly denied, the court or agency head shall order that access be allowed. A requestor who prevails in any proceeding shall ¹be entitled ¹ to a reasonable attorney's fee.

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8. (New section) a. There is established in the Department of Community Affairs a Government Records Council. The council shall consist of the Commissioner of Community Affairs or the commissioner's designee, the Commissioner of Education or the commissioner's designee, and three public members appointed by the Governor, with the advice and consent of the Senate, not more than two of whom shall be of the same political party. The three public members shall serve during the term of the Governor making the appointment and until the appointment of a successor. A public member shall not hold any other State or local elected or appointed office or employment while serving as a member of the council. A public member shall not receive a salary for service on the council but shall be reimbursed for reasonable and necessary expenses associated with serving on the council and may receive such per diem payment as may be provided in the annual appropriations act. ¹[The appointment of a] A¹ member may be ¹[revoked] removed by the Governor for

- 1 cause. Vacancies among the public members shall be filled in the same
- 2 manner in which the original appointment was made. The members of
- 3 the council shall choose one of the public members to serve as the
- 4 council's chair. The council may employ an executive director and
- such professional and clerical staff as it deems necessary and may call 5
- 6 upon the Department of Community Affairs for such assistance as it
- 7 deems necessary and may be available to it.

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- 8 b. The Government Records Council shall:
- 9 establish an informal mediation program to facilitate the resolution 10 of disputes regarding access to government records;
- 11 receive, hear, review and adjudicate a complaint filed by any person 12 concerning a denial of access to a government record by a records 13 custodian;
- 14 issue advisory opinions, on its own initiative, as to whether a 15 particular type of record is a government record which is accessible to the public; 16
- 17 prepare guidelines and an informational pamphlet for use by records 18 custodians in complying with the law governing access to public 19 records;
 - prepare an informational pamphlet explaining the public's right of access to government records and the methods for resolving disputes regarding access, which records custodians shall make available to persons requesting access to a government record;
- prepare ¹[guidelines] <u>lists</u> ¹ for use by records custodians 24 ¹[outlining] of ¹ the types of records in the possession of public 25 agencies which are government records; 26
- make training opportunities available for records custodians and 27 28 other public officers and employees which explain the law governing 29 access to public records; and
 - operate an informational website and a toll-free helpline staffed by knowledgeable employees of the council during regular business hours which shall enable any person, including records custodians, to call for information regarding the law governing access to public records and allow any person to request mediation or to file a complaint with the counsel when access has been denied;
- 36 In implementing the provisions of subsections d. and e. of this section, the council shall: act, to the maximum extent possible, at the convenience of the parties; utilize teleconferencing, faxing of documents, e-mail and similar forms of modern communication; and when in-person meetings are necessary, send representatives to meet with the parties at a location convenient to the parties.
- 42 c. At the request of the council, a public agency shall produce 43 documents and ensure the attendance of witnesses with respect to the 44 council's investigation of any complaint or the holding of any hearing.
- 45 d. Upon receipt of a written complaint signed by any person 46 alleging that a custodian of a government record has improperly

1 denied that person access to a government record, the council shall 2 offer the parties the opportunity to resolve the dispute through 3 mediation. Mediation shall enable a person who has been denied 4 access to a government record and the custodian who denied or failed to provide access thereto to attempt to mediate the dispute through a 5 process whereby a neutral mediator, who shall be ¹[an attorney] 6 <u>trained in mediation</u>¹ selected by the council, acts to encourage and 7 facilitate the resolution of the dispute. Mediation shall be an informal, 8 9 nonadversarial process having the objective of helping the parties 10 reach a mutually acceptable, voluntary agreement. The mediator shall assist the parties in identifying issues, foster joint problem solving, and 11 12 explore settlement alternatives.

13 e. If any party declines mediation or if mediation fails to resolve 14 the matter to the satisfaction of all parties, the council shall initiate an 15 investigation concerning the facts and circumstances set forth in the complaint. The council shall make a determination as to whether the 16 complaint is within its jurisdiction or frivolous or without any 17 reasonable factual basis. If the council shall conclude that the 18 19 complaint is outside its jurisdiction, frivolous or without factual basis, 20 it shall reduce that conclusion to writing and transmit a copy thereof 21 to the complainant and to the records custodian against whom the 22 complaint was filed. Otherwise, the council shall notify the records 23 custodian against whom the complaint was filed of the nature of the 24 complaint and the facts and circumstances set forth therein. The 25 custodian shall have the opportunity to present the board with any statement or information concerning the complaint which the custodian 26 27 wishes. If the council is able to make a determination as to a record's 28 accessibility based upon the complaint and the custodian's response 29 thereto, it shall reduce that conclusion to writing and transmit a copy thereof to the complainant and to the records custodian against whom 30 31 the complaint was filed. If the council is unable to make a 32 determination as to a record's accessibility based upon the complaint 33 and the custodian's response thereto, the council shall conduct a 34 hearing on the matter in conformity with the rules and regulations 35 provided for hearings by a state agency in contested cases under the "Administrative Procedure Act," P.L.1968, c.410 (C.52:14B-1 et 36 37 seq.), in so far as they may be applicable and practicable. The council 38 shall, by a majority vote of its members, render a decision as to 39 whether the record which is the subject of the complaint is a 40 government record which must be made a available for public access 41 pursuant to P.L.1963, c.73 (C.47:1A-1 et seq.) as amended and 42 supplemented. If the council determines, by a majority vote of its 43 members, that a custodian has knowingly and willfully violated 44 P.L.1963, c.73 (C.47:1A-1 et seq.), as amended and supplemented, 45 and is found to have unreasonably denied access under the totality of the circumstances, the council may impose the penalties provided for 46

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- 1 in section 12 of P.L., c. (C.) (now pending before the
- 2 Legislature as this bill). A decision of the council may be appealed to
- 3 the Appellate Division of Superior Court. A decision of the council
- 4 shall not have value as a precedent for any case initiated in Superior
- Court pursuant to section 7 of P.L., c. (C.) (now pending before 5
- 6 the Legislature as this bill). All proceedings of the council pursuant
- 7 to this subsection shall be conducted as expeditiously as possible.
- 8 f. The council shall not charge any party a fee in regard to actions
- 9 filed with the council. The council shall be subject to the provisions
- 10 of the "Open Public Meetings Act," P.L.1975, c.231 (C.10:4-6),
- except that the council may go into closed session during that portion 11
- 12 of any proceeding during which the contents of a contested record
- would be disclosed. A requestor who prevails in any proceeding shall 13
- 14 be entitled to a reasonable attorney's fee.
- 15 g. The council shall not have jurisdiction over the Judicial or
- Legislative Branches of State Government or any agency, officer, or 16
- 17 employee of those branches.

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- 19 9. (New section) Nothing contained in P.L.1963, c.73 (C.47:1A-1 et seq.), as amended and supplemented, shall be construed as limiting 20
- the common law right of access to a government record ¹, including 21
- <u>criminal investigatory records of a law enforcement agency</u>¹. 22

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- 10. (New Section) a. The provisions of this act, P.L. 24
- 25 (C.) (now pending before the Legislature as this bill), shall not
- 26 abrogate any exemption of a public record or government record from
- 27 public access heretofore made pursuant to P.L.1963, c.73 (C.47:1A-1
- 28 et seq.); any other statute; resolution of either or both Houses of the
- 29 Legislature; regulation promulgated under the authority of any statute
- or Executive Order of the Governor; Executive Order of the 30
- 31 Governor; Rules of Court; any federal law, federal regulation, or
- 32 federal order.
- (C. 33 b. The provisions of this act, P.L. , c.) (now pending
- 34 before the Legislature as this bill), shall not abrogate or erode any
- executive or legislative privilege or grant of confidentiality heretofore 35
- established or recognized by the Constitution of this State, statute, 36
- court rule or judicial case law, which privilege or grant of 37
- 38 confidentiality may duly be claimed to restrict public access to a public
- 39 record or government record.

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- 41 11. (New section) Notwithstanding the provisions of P.L.1963,
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c.73 (C.47:1A-1 et seq.) or any other law to the contrary, the

- personnel or pension records of any individual in the possession of a
- public agency, including but not limited to records relating to any 45 grievance filed by or against an individual, shall not be considered a
- government record and shall not be made available for public access, 46

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1 except that: 2 an individual's name, title, position, salary, payroll record, length of 3 service, date of separation and the reason therefor, and the amount and 4 type of any pension received shall be a government record; 5 personnel or pension records of any individual shall be accessible when required to be disclosed by another law, when disclosure is 6 essential to the performance of official duties of a person duly 7 8 authorized by this State or the United States, or when authorized by 9 an individual in interest; and 10 data contained in information which disclose conformity with specific experiential, educational or medical qualifications required for 11 12 government employment or for receipt of a public pension, but not 13 including any detailed medical or psychological information, shall be 14 a government record. 15 16 12. (New section) A public official, officer, employee or custodian 17 who knowingly and willfully violates P.L.1963, c.73 (C.47:1A-1 et 18 seq.), as amended and supplemented, and is found to have unreasonably denied access under the totality of the circumstances, 19 shall be subject to a civil penalty of \$1,000 for an initial violation, 20 21 \$2,500 for a second violation that occurs within 10 years of an initial 22 violation, and \$5,000 for a third violation that occurs within 10 years 23 of an initial violation. This penalty shall be collected and enforced in proceedings in accordance with the "Penalty Enforcement Law of 24 1999," P.L.1999, c.274 (C.2A:58-10 et seq.), and the rules of court 25 governing actions for the collection of civil penalties. The Superior 26 27 Court shall have jurisdiction of proceedings for the collection and 28 enforcement of the penalty imposed by this section. 29 Appropriate disciplinary proceedings may be initiated against a 30 public official, officer, employee or custodian against whom a penalty 31 has been imposed. 32 33 13. (New section) The New Jersey Supreme Court may adopt such 34 court rules as it deems necessary to effectuate the purposes of this 35 36 37 14. (New section) The Commissioner of Community Affairs shall 38 include in the annual budget request of the Department of Community 39 Affairs a request for sufficient funds to effectuate the purposes of 40 section 8 of P.L., c. (C.) (now pending before the Legislature as 41 this bill). 42 43 ¹15. (New section) a. There is established a temporary Privacy 44 Study Commission which shall consist of 13 members. The President of the Senate, the Minority Leader of the Senate, the Speaker of the 45

General Assembly and the Minority Leader of the General Assembly

- 1 <u>shall each appoint one public member. The Governor shall appoint</u>
- 2 <u>nine members and shall designate one of the commission's members to</u>
- 3 serve as chair of the commission. In making appointments to the
- 4 <u>commission, legislative leaders and the Governor shall cooperate and</u>
- 5 <u>coordinate to ensure that the representatives of the following groups</u>
- 6 and organizations are represented among the commission's
- 7 <u>membership and that the membership represents a balance between</u>
- 8 groups which advocate citizen privacy interests and groups which
- 9 advocate increased public access to government records: State and
- 10 local law enforcement agencies, State and local government officers
- and employees, attorneys practicing in the field of individual privacy
- rights, public interest groups with a record of activity with respect to openness in government, crime victim advocates, members of the news
- openness in government, crime victim advocates, members of the news media, and at least one retired member of the State Judiciary.
- 15 <u>Vacancies in the membership of the commission shall be filled in the</u>
- same manner as the original appointments were made.
- b. The commission shall organize within 14 days after the appointment of a majority of its members.
- c. The commission shall meet at the call of the chair and hold
- 20 <u>hearings at such places as the chair shall designate during the sessions</u>
- 21 and recesses of the Legislature. The commission shall comply with the
- 22 provisions of the "Open Public Meetings Act," P.L.1975, c.231
- 23 (C.10:4-6 et seq.).

- 24 <u>d. The commission shall be entitled to call to its assistance and</u>
- 25 avail itself of the services of the employees of any State, county, or
- 26 <u>municipal department, board, bureau, commission or agency, as it may</u>
- 27 require and as may be available for its purposes, and to employ
- 28 stenographic and clerical assistance and incur traveling and other
- 29 <u>miscellaneous expenses as may be necessary in order to perform its</u>
- 30 duties, within the limits of funds appropriated or otherwise made
- 31 <u>available to it for its purposes.</u>
- 32 <u>e. The commission shall study the privacy issues raised by the</u>
- 33 <u>collection, processing, use and dissemination of information by public</u>
- 34 agencies, in light of the recognized need for openness in government,
- 35 and recommend specific measures, including legislation, the
- 36 commission may deem appropriate to deal with these issues and
- 37 safeguard the privacy rights of individuals. In the course of its study,
- 38 the commission shall review the current and proposed means used for

the collection, processing, use and dissemination of information by

- 40 State and local government agencies.
- 41 <u>f. The commission shall report its findings and recommendations</u>
- 42 to the Governor and the Legislature within 18 months of the effective
- 43 date of P.L., c. (C.) (now pending before the Legislature as this
- 44 bill) and may accompany the same with any legislative bills which it
- 45 may desire to recommend for adoption by the Legislature.¹

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1	¹ 16. There is appropriated \$95,000 from the General Fund to the
2	Privacy Study Commission established pursuant to section 15 of P.L.,
3	c. (C.) (now pending before the Legislature as this bill). ¹
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5	¹ [15.] <u>17.</u> Section 2 of P.L.1963, c.73 (C.47:1A-2), section 8 of
6	P.L.1994, c.140 (C.47:1A-2.1) and section 4 of P.L.1963, c.73
7	(C.47:1A-4) are repealed.
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9	¹ [16.] <u>18.</u> ¹ ¹ [This] <u>Sections 15 and 16 of this</u> ¹ act shall take
10	effect ¹ immediately and expire upon the date that the Privacy Study
11	Commission submits its report to the Governor and the Legislature
12	and the remainder of this act shall take effect ¹ on the 180th day after
13	enactment ¹ , except that public agencies may take such anticipatory
14	administrative action in advance as shall be necessary for the
15	implementation of the act ¹ .



Office of the Governor

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JAMES E. MCGREEVEY

Governor

On Tuesday, August 13, 2002, I was pleased to join with the New Jersey Press Association, and some of New Jersey's leading open press activists to announce our strong support for the state's Open Public Records Act. We all share an unwavering commitment to this critically important law.

Our new Open Public Records Act took effect last month. This law makes it clear – records made by local and state government officials are presumed to be available for inspection and copying by citizens. The law establishes narrow exemptions for victims' records, emergency and security information, criminal investigatory records and other appropriate areas that warrant confidentiality. The law will challenge government to be responsive, accountable and open, and that is as it should be. Our citizens deserve nothing less.

To make these goals a reality I have signed Executive Order No. 26. This Executive Order is the byproduct of a lengthy positive, productive, and collaborative process. In recent weeks, Attorney General David Samson and I worked closely with editors of some of New Jersey's leading newspapers, as well as well as the League of Women Voters, Common Cause, Sierra Club, the Foundation for Open Government, Citizen Action and the New Jersey Public Interest Research Group.

We worked together to review the original Executive Order, and to review proposals made by state agencies to exempt certain records from disclosure. My staff, cabinet members and the Press Association reviewed these proposed exemptions, and we dramatically reduced them. There were 583 exemptions originally proposed, and in the end we cut that down to 75 - 52 of

which were narrowed and 23 that were not changed. The full list of our action is available on the web, at www.nj.gov/opra, and citizens will be invited to comment on these changes.

This is how our process is designed to work. When government proposes regulations through the Administrative Procedures Act, those proposals are open to comment from the public. Where changes are appropriate, changes are made.

Throughout this process, we struck a balance between the need for open government and the need to ensure the security and safety of our citizens. The Executive Order modifies a previous order by clarifying language and ensuring full compliance with the Act.

My Executive Order also addresses serious security matters that are of concern to all of us. Information can be exempted from disclosure it would "substantially interfere" with the state's ability to protect our citizens, or would "materially increase" the risk of acts of terrorism. This is a high standard to meet, and the Attorney General is already engaged in developing detailed regulations to ensure security concerns are addressed. We will keep the Press Association and public interest groups engaged in this process as we move forward. We all share a common interest in protecting the security and safety of our citizens, and that common interest was reflected in the discussions of the past few weeks.

Another byproduct of these discussions is was a line by line review with these organizations of the regulations proposed by individuals departments. In July, departments had proposed 583 exemptions to the Open Public Records Act. The law specifically provides departments with the authority to make additional exemptions.

My commitment to open government will not waver. Here are some other programs my administration is working on:

We have set up a groundbreaking project, the Government Records Council
(www.nj.gov/grc), which is an independent agency that oversees compliance with
OPRA. Citizens can call a toll-free number ((866) 850-0511) to ask questions, address
problems with access to records on a local or state level, or receive free dispute
resolution services.

- We have established a central web site to explain the law and assist citizens in making records requests. www.nj.gov/opra.
- Last week, Attorney General Samson issued a directive to local and county law enforcement to ensure public access to appropriate police information.
- We are establishing a Privacy Study Commission to examine privacy issues over the next 18 months.
- State agencies are working throughout government to greatly expand Internet access to key documents. For example, the Department of Environmental Protection has an innovative web site (www.state.nj.us/dep), which will soon be expanded to increase access to key documents with the click of a mouse.

Justice Marshall said that public records are essential "to ensure an informed citizenry" and are "vital to the functioning of a democratic society, need to check against corruption and to hold the governors accountable to the governed." I encourage every New Jersey citizen to visit our web sites, learn more about how government is addressing real problems faced by our society, and comment on our rule proposals. Together, we will all make New Jersey State government more accessible and more accountable, and give government back to the people.

James E. McGreevey, Governor