#### LEGISLATIVE HISTORY CHECKLIST Compiled by the NJ State Law Library

NJSA: 2A: 62A-16

(Psychologists physicians--im munity)

LAWS OF: 1991

CHAPTER: 270

Bill No:

\$3063

Sponsor(s):

Van Wagner & O'Connor

Date Introduced: November 19, 1990

Committee: Assembly: -----

Senate:

Judiciary

A mended during passage:

Yes

Senate Committee Substitute (1R)

enacted

Date of Passage:

Assembly:

April 25, 1991

Senate:

March 25, 1991

Date of Approval: August 27, 1991

Following statements are attached if available:

Sponsor statement:

Yes

Committee Statement: Assembly: No

Senate:

Yes

Fiscal Note:

No

Veto Message:

Yes

Message on signing:

No

Following were printed:

Reports:

No

Hearings:

No

(over)

DEPOSITOR TO Not Remove From

See

Gottlieb, Henry "new law immunizes therapists..."

129 N.J.L.J. index page 1 (9-5-91)

KBG/SLJ

# P.L. 1991, CHAPTER 270, approved August 27, 1991 Senate Committee Substitute (First Reprint) for 1990 Senate No. 3063

AN ACT providing immunity from certain civil suits to licensed practitioners of psychology, psychiatry, medicine, nursing 1, clinical social work1 or marriage counseling and supplementing chapter 53A of Title 2A of the New Jersey Statutes.

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BE IT ENACTED by the Senate and General Assembly of the State of New Jersey:

- 1, a. Any person who is licensed in the State of New Jersey to practice psychology, psychiatry, medicine, nursing 1, clinical social work 1 or marriage counseling, whether or not compensation is received or expected, is immune from any civil liability for a patient's violent act against another person or against himself unless the practitioner has incurred a duty to warn and protect the potential victim as set forth in subsection b. of this section and fails to discharge that duty as set forth in subsection crofethis section.
- b. A duty to warn and protect is incurred when the following conditions exist:
- (1) The patient has communicated to that practitioner a threat of imminent, serious physical violence against a readily identifiable individual or against himself and the circumstances are such that a reasonable professional in the practitioner's area of expertise would believe the patient intended to carry out the threat; or
- (2) The circumstances are such that a reasonable professional in the practitioner's area of expertise would believe the patient intended to carry out an act of imminent, serious physical violence against a readily identifiable individual or against himself.
- c. A licensed practitioner of psychology, psychiatry, medicine, nursing 1, clinical social work1 or marriage counseling shall discharge the duty to warn and protect as set forth in subsection b. of this section by doing any one or more of the following:
- (1) Arranging for the patient to be admitted voluntarily to a psychiatric unit of a general hospital, a short-term care facility, a special psychiatric hospital or a psychiatric facility, under the provisions of P.L. 1987, c.116 (C.30:4-27.1 et seq.);

EXPLANATION—-Matter enclosed in bold-faced brackets [thus] in the above bill is not enacted and is intended to be omitted in the law.

Matter underlined thus is new matter.
Matter enclosed in superscript numerals has been adopted as follows:

Senate amendments adopted in accordance with Governor's
recommendations July 25, 199).

- (2) Initiating procedures for involuntary commitment of the 1. patient to a short-term care facility, a special psychiatric 2 hospital or a psychiatric facility, under the provisions of 3 P.L.1987, c.116 (C.30:4-27.1 et seq.); 4 (3) Advising a local law enforcement authority of the patient's 5 threat and the identity of the intended victim; 6 7 (4) Warning the intended victim of the threat, or, in the case of an intended victim who is under the age of 18, warning the 8 9 parent or guardian of the intended victim; or (5) If the patient is under the age of 18 and threatens to 10 commit suicide or bodily injury upon himself, warning the parent 11 or guardian of the patient. 12 d. A practitioner who is licensed in the State of New Jersey to 13 practice psychology, psychiatry, medicine, nursing 1, clinical 14 social work<sup>1</sup> or marriage counseling who, in complying with 15 subsection c. of this section, discloses a 16 communication, is immune from civil liability in regard to that 17 disclosure. 18 12. When a duty to warn and protect arises from the receipt of 19 20
  - <sup>1</sup>2. When a duty to warn and protect arises from the receipt of a privileged communication from a patient in a drug or alcohol abuse program governed by federal law, a licensed practitioner of psychology, psychiatry, medicine, nursing clinical social work or marriage counseling may be required to obtain a court order authorizing disclosure prior to disclosure of information about the patient including the patient's threat of violence, in accordance with 42 U.S.C. 290dd-3 and 42 U.S.C. 290ee-3 and regulations promulgated thereunder. <sup>1</sup>

<sup>1</sup>[2.] 3. This act shall take effect immediately.

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#### CIVIL JUSTICE

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34 35 Provides civil immunity to licensed practitioners of psychology, psychiatry, medicine, nursing, clinical social work or marriage counseling under certain circumstances.

## SENATE, No. 3063

## STATE OF NEW JERSEY

#### INTRODUCED NOVEMBER 19, 1990

#### By Senators VAN WAGNER and O'CONNOR

AN	I ACT	providi	ng in	nmunity	from	cert	ain	civil	suits	to li	censed
	practit	ioners o	of psy	ychology	, med	dicine	or	mar	riage	cou	nseling
	and su	pplemen	iting	chapter	53A	of Ti	tle 2	2A of	the	New	Jersey
9	Statute	20									

# BE IT ENACTED by the Senate and General Assembly of the State of New Jersey:

- 1. a. Any person who is licensed in the State of New Jersey to practice psychology, medicine or marriage counseling, whether or not compensation is received or expected, is immune from any civil liability for a patient's violent act against another person unless the practitioner has incurred a duty to protect the potential victim and fails to discharge that duty. A duty to protect is incurred if:
- (1) The patient has communicated to that practitioner a threat of imminent, serious physical violence against a readily identifiable individual; and
- (2) The circumstances are such that a reasonable professional in the practitioner's area of expertise would believe the patient intended to carry out the threat.
- b. The practitioner may discharge the duty to protect by doing any of the following:
- (1) Arranging for the patient to be admitted voluntarily to a psychiatric unit of a general hospital, a short-term care facility, a special psychiatric hospital or a psychiatric facility, under the provisions of P.L.1987, c.116 (C.30:4-27.1 et seq.);
- (2) Initiating procedures for involuntary commitment of the patient to a short-term care facility, a special psychiatric hospital or a psychiatric facility, under the provisions of P.L.1987, c.116 (C.30:4-27.1 et seq.);
- (3) Advising a local law enforcement authority of the patient's threat and the identity of the intended victim, or
- (4) Warning the intended victim of the threat, or, in the case of an intended victim who is under the age of 18, warning the parent or guardian of the intended victim.
- c. A practitioner who is licensed in the State of New Jersey to practice psychology, medicine or marriage counseling who, in complying with paragraph 3 of subsection b. of section 1 of this act, discloses a privileged communication, is immune from civil liability in regard to that disclosure.
  - 2. This act shall take effect immediately.

### SPONSOR'S STATEMENT

State and federal courts are shaping remedies for victims of violent crimes which include a cause of action against licensed practitioners of psychology, medicine or marriage counseling for failing to warn of a patient's potentially violent behavior.

Although it is obviously in the public interest to protect the potential victims of violent crimes, disruption of a practitioner's therapeutic relationship with a severely upset patient may also serve to increase the possibility of the patient's violent acts. The legislature has historically recognized the unique nature of the therapeutic relationship and has protected communications between patient and therapist by requiring that the therapist keep such communications confidential.

Under current law, the therapist's legal responsibility to warn of a patient's potential for violence is unclear. At the same time, the therapist must attempt to maintain a therapeutic relationship with that patient. Thus, a therapist may be placed in the untenable position of being subject to liability under two competing theories: for failing to warn a potential victim of the patient's capability for violence, or, in the alternative (if the therapist has chosen to disclose the threat), for disclosing confidential communications between the therapist and the patient.

This bill serves as a specific guideline for practitioners caught in this quandary and protects them from liability under appropriate circumstances. The bill also affords a reasonable degree of protection to the potential victims of these violent crimes, by delineating the circumstances under which the practitioner incurs a duty to protect.

Under the bill, such a duty is incurred when: (1) The patient has communicated to the practitioner a threat of imminent, serious, physical violence against a readily identifiable individual, and (2) Circumstances are such that a reasonable practitioner would believe that the patient intended to carry out the threat. The practitioner may discharge the duty to protect the potential victim by either (1) Arranging for the patient to be admitted voluntarily to a psychiatric unit or psychiatric facility; (2) Initiating procedures for the patient's involuntary commitment; (3) Advising a local law enforcement authority of the patient's threat and the identity of the intended victim, or (4) Warning the intended victim of the threat, or, in the case of an intended victim who is under the age of 18, warning the parent or guardian of the intended victim.

In addition, the bill provides that any practitioner who discloses privileged information in complying with the act would be immune from civil liability for the disclosure.

1	CIVIL JUSTICE
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3	Provides civil immunity to licensed practitioners of psychology
1	medicine or marriage counseling under certain circumstances.

### SENATE COMMITTEE SUBSTITUTE FOR SENATE, No. 3063

By Senator Van Wagner

AN ACT providing immunity from certain civil suits to licensed practitioners of psychology, psychiatry, medicine, nursing or marriage counseling and supplementing chapter 53A of Title 2A of the New Jersey Statutes.

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BE IT ENACTED by the Senate and General Assembly of the State of New Jersey:

- 1. a. Any person who is licensed in the State of New Jersey to practice psychology, psychiatry, medicine, nursing or marriage counseling, whether or not compensation is received or expected, is immune from any civil liability for a patient's violent act against another person or against himself unless the practitioner has incurred a duty to warn and protect the potential victim as set forth in subsection b. of this section and fails to discharge that duty as set forth in subsection c. of this section.
- b. A duty to warn and protect is incurred when the following conditions exist:
- (1) The patient has communicated to that practitioner a threat of imminent, serious physical violence against a readily identifiable individual or against himself and the circumstances are such that a reasonable professional in the practitioner's area of expertise would believe the patient intended to carry out the threat: or
- (2) The circumstances are such that a reasonable professional in the practitioner's area of expertise would believe the patient intended to carry out an act of imminent, serious physical violence against a readily identifiable individual or against himself.
- c. A licensed practitioner of psychology, psychiatry, medicine, nursing or marriage counseling shall discharge the duty to warn and protect as set forth in subsection b. of this section by doing any one or more of the following:
- (1) Arranging for the patient to be admitted voluntarily to a psychiatric unit of a general hospital, a short-term care facility, a special psychiatric hospital or a psychiatric facility, under the provisions of P.L.1987, c.116 (C.30:4-27.1 et seq.);
- (2) Initiating procedures for involuntary commitment of the patient to a short-term care facility, a special psychiatric hospital or a psychiatric facility, under the provisions of P.L.1987, c.115 (C.30:4-27.1 e1 seq.);
- (3) Advising a local law enforcement authority of the patient's threat and the identity of the intended victim;

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- (4) Warning the intended victim of the threat, or, in the case of an intended victim who is under the age of 18, warning the parent or guardian of the intended victim; or
- (5) If the patient is under the age of 18 and threatens to commit suicide or bodily injury upon himself, warning the parent or guardian of the patient.
- d. A practitioner who is licensed in the State of New Jersey to practice psychology, psychiatry, medicine, nursing or marriage counseling who, in complying with subsection c. of this section. discloses a privileged communication, is immune from civil liability in regard to that disclosure.
  - 2. This act shall take effect immediately.

#### CIVIL JUSTICE

Provides civil immunity to licensed practitioners of psychology, psychiatry, medicine, nursing or marriage counseling under certain circumstances.

#### SENATE JUDICIARY COMMITTEE

#### STATEMENT TO

# SENATE, No. 3063

### STATE OF NEW JERSEY

**DATED: MARCH 11, 1991** 

The Senate Judiciary Committee reports favorably Senate Committee Substitute for Senate Bill No. 3063.

In recent years, there has been a growth in the number of civil actions in which victims of violent crimes have sued psychiatrists and therapists for failing to warn of a patient's potentially violent behavior. In McIntosh v. Milano, 168 N.J. Super. 466 (Law Div. 1979), for example, the court held that a psychiatrist or therapist may have a duty to take reasonably necessary steps to protect an intended or potential victim from his patient if there exists a probability of violent behavior.

Senate committee substitute for Senate Bill No. 3063 would provide that a person licensed to practice psychology, psychiatry, medicine, nursing or marriage counseling, whether or not compensation is received or expected, is immune from civil liability for a patient's violent acts against another person or against himself unless the practitioner has incurred a duty to warn and fails to discharge that duty. The committee substitute also provides immunity for disclosure of privileged communication when the practitioner incurs a duty to warn.

Under the committee substitute, the duty to warn and protect is incurred when either of the following conditions exist: (a) the patient has communicated to the practitioner a threat of imminent, serious, physical violence against a readily identifiable individual or against himself; or (b) the circumstances are such that a practitioner in the practitioner's area of expertise would believe that the patient intended to carry out an act of imminent, serious physical violence against a readily identifiable individual or against himself.

The practitioner's duty to warn and protect is discharged by the practitioner doing any one or more of following: (a) arranging for the voluntary commitment of the patient; (b) initiating procedures for the involuntary commitment the patient; (c) advising the local law enforcement authority of the patient's threat and the identity of the victim; (d) warning the intended victim of the threat, or if the intended victim is a minor, warning the parent or guardian; or (e) if the patient is under the age of 18 and threatens to commit suicide or bodily injury upon himself, warning the parent or guardian.

# SENATE, No. 3063

### STATE OF NEW JERSEY

#### ADOPTED MARCH 11, 1991

#### Sponsored by Senator VAN WAGNER

AN ACT	providir	ng immunity fr	om certain	civil suits	to licensed
practit	ioners c	of psychology.	psychiatry.	medicine,	nursing or
marria	ge couns	eling and suppl	lementing ch	apter 53A	of Title 2A
of the	New Jer	sey Statutes.			

# BE IT ENACTED by the Senate and General Assembly of the State of New Jersey:

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1. a. Any person who is licensed in the State of New Jersey to practice psychology, psychiatry, medicine, nursing or marriage counseling, whether or not compensation is received or expected, is immune from any civil liability for a patient's violent act against another person or against himself unless the practitioner has incurred a duty to warn and protect the potential victim as set forth in subsection b. of this section and fails to discharge that duty as set forth in subsection c. of this section.

b. A duty to warn and protect is incurred when the following conditions exist:

- (1) The patient has communicated to that practitioner a threat of imminent, <u>serious physical</u> violence against a readily identifiable individual or against himself and the circumstances are such that a reasonable professional in the practitioner's area of expertise would believe the patient intended to carry out the threat: or
- (2) The circumstances are such that a reasonable professional in the practitioner's area of expertise would believe the patient intended to carry out an act of imminent, serious physical violence against a readily identifiable individual or against himself.
- c. A licensed practitioner of psychology, psychiatry, medicine, nursing or marriage counseling shall discharge the duty to warm and protect as set forth in subsection b. of this section by doing any one or more of the following:
- (1) Arranging for the patient to be admitted voluntarily to a psychiatric unit of a general hospital, a short-term care facility, a special psychiatric hospital or a psychiatric facility, under the provisions of P.L.1987, c.116 (C.30:4-27.1 et seq.);
- (2) Initiating procedures for involuntary commitment of the patient to a short-term care facility, a special psychiatric hospital or a psychiatric facility, under the provisions of P.L.1987, c.116 (C.30;4-27.1 et seq.);
- (3) Advising a local law enforcement authority of the patient's threat and the identity of the intended victim:

- (4) Warning the intended victim of the threat, or, in the case of an intended victim who is under the age of 18, warning the parent or guardian of the intended victim; or
- (5) If the patient is under the age of 18 and threatens to commit suicide or bodily injury upon himself, warning the parent or guardian of the patient.
- d. A practitioner who is licensed in the State of New Jersey to practice psychology, psychiatry, medicine, nursing or marriage counseling who, in complying with subsection c. of this section, discloses a privileged communication, is immune from civil liability in regard to that disclosure.
  - 2. This act shall take effect immediately.

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#### CIVIL JUSTICE

Provides civil immunity to licensed practitioners of psychology, psychiatry, medicine, nursing or marriage counseling under certain circumstances.

#### [FIRST REPRINT]

## SENATE COMMITTEE SUBSTITUTE FOR SENATE, No. 3063

## STATE OF NEW JERSEY

#### ADOPTED MARCH 11, 1991

Sponsored by Senator VAN WAGNER and Assemblyman DOYLE

AN ACT providing immunity from certain civil suits to license	d
practitioners of psychology, psychiatry, medicine, nursing	l,
clinical social work <sup>1</sup> or marriage counseling and supplementing	ıg
chapter 53A of Title 2A of the New Jersey Statutes.	

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#### BE IT ENACTED by the Senate and General Assembly of the State of New Jersey:

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1. a. Any person who is licensed in the State of New Jersey to practice psychology, psychiatry, medicine, nursing 1, clinical <u>social work<sup>1</sup> or marriage counseling,</u> whether or not compensation is received or expected, is immune from any civil liability for a patient's violent act against another person or against himself unless the practitioner has incurred a duty to warn and protect the potential victim as set forth in subsection b. of this section and fails to discharge that duty as set forth in subsection c. of this section.

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b. A duty to warn and protect is incurred when the following conditions exist:

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(1) The patient has communicated to that practitioner a threat of imminent, serious physical violence against a readily identifiable individual or against himself and the circumstances are such that a reasonable professional in the practitioner's area of expertise would believe the patient intended to carry out the threat; or

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(2) The circumstances are such that a reasonable professional in the practitioner's area of expertise would believe the patient intended to carry out an act of imminent, serious physical violence against a readily identifiable individual or against

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c. A licensed practitioner of psychology, psychiatry, medicine, nursing <sup>1</sup>, clinical social work <sup>1</sup> or marriage counseling shall discharge the duty to warn and protect as set forth in subsection b. of this section by doing any one or more of the following:

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(1) Arranging for the patient to be admitted voluntarily to a psychiatric unit of a general hospital, a short-term care facility, a special psychiatric hospital or a psychiatric facility, under the provisions of P.L.1987, c.116 (C.30:4-27.1 et seq.);

EXPLANATION---Matter enclosed in bold-faced brackets [thus] in the above bill is not enacted and is intended to be omitted in the law.

Matter underlined thus is new matter. Matter enclosed in superscript numerals has been adopted as follows.

Senate amendments adopted in accordance with Governor's recommendations July 25, 1991.

- (2) Initiating procedures for involuntary commitment of the patient to a short-term care facility, a special psychiatric hospital or a psychiatric facility, under the provisions of P.L.1987, c.116 (C.30:4-27.1 et seq.);
- (3) Advising a local law enforcement authority of the patient's threat and the identity of the intended victim;
- (4) Warning the intended victim of the threat, or, in the case of an intended victim who is under the age of 18, warning the parent or guardian of the intended victim; or
- (5) If the patient is under the age of 18 and threatens to commit suicide or bodily injury upon himself, warning the parent or guardian of the patient.
- d. A practitioner who is licensed in the State of New Jersey to practice psychology, psychiatry, medicine, nursing <sup>1</sup>, clinical social work<sup>1</sup> or marriage counseling who, in complying with subsection c. of this section, discloses a privileged communication, is immune from civil liability in regard to that disclosure.
- <sup>1</sup>2. When a duty to warn and protect arises from the receipt of a privileged communication from a patient in a drug or alcohol abuse program governed by federal law, a licensed practitioner of psychology, psychiatry, medicine, nursing, clinical social work or marriage counseling may be required to obtain a court order authorizing disclosure prior to disclosure of information about the patient including the patient's threat of violence, in accordance with 42 U.S.C. 290dd-3 and 42 U.S.C. 290ee-3 and regulations promulgated thereunder. <sup>1</sup>

<sup>1</sup>[2.] 3. This act shall take effect immediately.

#### CIVIL JUSTICE

 Provides civil immunity to licensed practitioners of psychology, psychiatry, medicine, nursing, clinical social work or marriage counseling under certain circumstances.

## STATE OF NEW JERSEY EXECUTIVE DEPARTMENT

June 10, 1991

# SENATE COMMITTEE SUBSTITUTE FOR SENATE BILL NO. 3063

#### To the General Assembly:

Pursuant to Article V, Section I, Paragraph 14 of the New Jersey Constitution, I am returning the Senate Committee Substitute for Senate Bill No. 3063 with my objections for reconsideration.

This committee substitute provides that a licensed practitioner of psychology, psychiatry, medicine, nursing or marriage counseling shall be immune from civil liability for a patient's violent acts unless the practitioner has incurred a duty to warn and protect the potential victim and fails to discharge that duty as provided by this act. This immunity would also extend to disclosure of confidential communications required for compliance with this act.

A duty to warn and protect would arise if: 1. The patient has communicated to the practitioner a threat of imminent, serious physical violence against a readily identifiable individual or himself, and the circumstances are such that a reasonable practitioner would believe that the patient intended to carry out the threat; or 2. The circumstances are such that a reasonable practitioner would believe that the patient intended to carry out an act of imminent, serious physical violence against a readily identifiable individual or himself. This duty could be discharged if the practitioner arranged for voluntary or involuntary commitment of the patient, advised a local law enforcement authority of the patient's threat and the identity of the intended victim, warned the intended victim, or warned the parent or guardian if the intended victim is a minor or if the patient is a minor and threatens to injure himself.

while I support the intent of this bill, which is to codify existing professional standards of practice in our statutes, I am concerned that this bill does not address an important provision under federal law which would preempt this act and could result in the imposition of federal criminal penalties against certain practitioners who rely solely on state law. In contrast to this act, current federal law and regulations prohibit counselors in federally assisted drug and alcohol abuse programs from disclosing any identifying details about a patient unless a prior court order is obtained. See: 42 U.S.C.A. Sec. 290dd-3 and Sec. 290ee-3; 42 C.F.R. part 2.

Specifically, the federal law bars disclosure of the identity, diagnosis, prognosis or treatment of any patient, unless the disclosure is authorized by a court after a showing of good cause therefor. The standard for whether good cause exists depends on whether the public interest and need for disclosure outweighs the injury to the patient, to the physician-patient relationship and to the treatment services. 42 <u>U.S.C.A.</u> Sec. 290dd-3(a), (b); 42 U.S.C.A. Sec. 290ee-3(a), (b). The rules provide that patient information may not be disclosed in any civil, criminal, administrative or legislative proceedings under local, state, or federal law unless it is permitted by the regulations, and the disclosure is limited to that information which is necessary to carry out the purpose of the disclosure. 42 C.F.R. Sec. 2.13. rules further provide that a confidential communication may be disclosed pursuant to a court order only: 1. if it is necessary to prevent loss of life or serious bodily injury; 2. if it is necessary for the investigation or prosecution of a serious crime directly involving loss of life or serious bodily injury; or 3. if the patient reveals the confidential communication in a civil proceeding. 42 C.F.R. Sec. 2.63. Finally, the rules provide that

## STATE OF NEW JERSEY EXECUTIVE DEPARTMENT

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"no State law may either authorize or compel any disclosure prohibited by these regulations." 42 C.F.R. Sec. 2.20.

I am concerned that without reference to the federal standards, certain practitioners may be lulled into a false sense of security if they rely on this act as written.

In addition, I note that this bill does not refer to clinical social workers. References should be added, since clinical social workers are becoming subject to state licensure and they function in a similar therapeutic manner to the practitioners listed in this act.

Therefore, I herewith return Senate Bill No. 3063 SCS and recommend that it be amended as follows:

Page 1. Section 1. Line 30: After "nursing" insert "clinical social worker".

Page 2. Section 1. Line 8: After "nursing" insert

"clinical social worker".

Page 2. Section 2. after Line 11: Insert new section as follows:

"When a duty to warn and protect arises from the receipt of a privileged communication from a patient in a drug or alcohol abuse program governed by federal law, a licensed practitioner

## STATE OF NEW JERSEY EXECUTIVE DEPARTMENT

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of psychology, psychiatry, medicine, nursing, clinical social work or marriage counseling may be required to obtain a court order authorizing disclosure prior to disclosure of information about the patient including the patient's threat of violence, in accordance with 42 U.S.C. 290de-3 and 42 U.S.C. 290ee-3 and regulations promulgated thereunder."

Page 3. Section 2. Line 12:

Delete "2" insert "3"

Respectfully,
/s/ James J. Florio
GOVERNOR

[seal]

Attest:

/s/ Andrew Weber

Chief Counsel to the Governor